

# Nominal Formalisations of Typical SOS Proofs

## The documented proofs

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### **Abstract**

Structural operational semantics (SOS) provides a framework for ascribing semantics to programming languages. This is typically done by stating rules for typing judgements, small-step transitions and rules for evaluating an expression of the language. Structural inductions over expressions and inductions over inference rules are thus the most fundamental reasoning techniques employed in SOS. While the SOS-techniques are characterised in Plotkin’s seminal notes as “symbol-pushing”, programming languages nearly always contain binders and then reasoning is in fact rather subtle. We describe in this paper formalisations of typical proofs in SOS using the nominal datatype package. We show how this package eases the subtleties when reasoning about binders.

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# 1 Definition of the language

## 1.1 Definition of the terms and types

First we define the type of atom names which will be used for binders. Each atom type is infinitely many atoms and equality is decidable.

**atom-decl** *name*

We define the datatype representing types. Although, It does not contain any binder we still use the `nominal_datatype` command because the Nominal datatype package will provide permutation functions and useful lemmas.

```
nominal_datatype data = DNat
  | DProd data data
  | DSum data data
```

```
nominal_datatype ty = Data data
  | Arrow ty ty (→- [100,100] 100)
```

The datatype of terms contains a binder. The notation `<name> trm` means that the name is bound inside `trm`.

```
nominal_datatype trm = Var name
  | Lam <name>trm (Lam [-].- [100,100] 100)
  | App trm trm
  | Const nat
  | Pr trm trm
  | Fst trm
  | Snd trm
  | InL trm
  | InR trm
  | Case trm <name>trm <name>trm
    (Case - of inl - → - | inr - → - [100,100,100,100,100] 100)
```

As the datatype of types does not contain any binder, the application of a permutation is the identity function. In the future, this will be automatically derived by the package.

```
lemma perm-data[simp]:
  fixes D::data
  and π::name prm
```

**shows**  $\pi \cdot D = D$   
**by** (*induct*  $D$  *rule*: *data.induct-weak*) (*simp-all*)

**lemma** *perm-ty*[*simp*]:  
**fixes**  $T::ty$   
**and**  $\pi::name\ prm$   
**shows**  $\pi \cdot T = T$   
**by** (*induct*  $T$  *rule*: *ty.induct-weak*) (*simp-all*)

**lemma** *fresh-ty*[*simp*]:  
**fixes**  $x::name$   
**and**  $T::ty$   
**shows**  $x \# T$   
**by** (*simp add*: *fresh-def supp-def*)

**lemma** *data-cases*:  
**fixes**  $x::data$   
**shows**  $x = DNat \vee (\exists T_1 T_2. x = DProd T_1 T_2) \vee (\exists T_1 T_2. x = DSum T_1 T_2)$   
**by** (*induct*  $x$  *rule*:*data.induct-weak, auto*)

**lemma** *ty-cases*:  
**fixes**  $x::ty$   
**shows**  $(\exists T_1 T_2. x = T_1 \rightarrow T_2) \vee (\exists T. x = Data T)$   
**by** (*induct*  $x$  *rule*:*ty.induct-weak, auto*)

## 1.2 Size functions

We define size functions for types and terms. As Isabelle allows overloading we can use the same notation for both functions.

These function are automatically generated for non nominal datatypes. In the future, we need to extend the package to generate size function for nominal datatypes as well.

**instance** *data* :: *size* ..

**nominal-primrec**  
*size*  $DNat = 1$   
*size*  $(DProd S_1 S_2) = size S_1 + size S_2$   
*size*  $(DSum S_1 S_2) = size S_1 + size S_2$   
**by** (*rule TrueI*) $+$

**instance** *ty* :: *size* ..

**nominal-primrec**  
*size*  $(Data S) = size S$   
*size*  $(T_1 \rightarrow T_2) = size T_1 + size T_2$   
**by** (*rule TrueI*) $+$

**lemma** *data-size-greater-zero*[*simp*]:  
**fixes**  $S::data$   
**shows**  $size S > 0$   
**by** (*nominal-induct* *rule*: *data.induct*) (*simp-all*)

**lemma** *ty-size-greater-zero*[*simp*]:  
**fixes**  $T::ty$   
**shows**  $size\ T > 0$   
**by** (*nominal-induct rule:ty.induct*) (*simp-all*)

## 2 Typing

### 2.1 Typing contexts

This section contains the definition and some properties of a typing context. As the concept of context often appears in the literature and is general, we should in the future provide these lemmas in a library.

#### 2.1.1 Definition of the validity of contexts

First we define what valid contexts are. Informally a context is valid if it does not contain twice the same variable.

We use the following two inference rules:

$$valid\ []_{V\_NIL} \quad \frac{valid\ \Gamma \quad x\ \#\ \Gamma}{valid\ ((x,\ T)\ \#\ \Gamma)}_{V\_CONS}$$

We generate the equivariance lemma for the relation `valid`.

**nominal-inductive** *valid*

We obtain a lemma called `valid_eqvt`:

$$\text{If } valid\ x \text{ then } valid\ (pi \cdot x).$$

We generate the inversion lemma for non empty lists. We add the `elim` attribute to tell the automated tactics to use it.

**inductive-cases2**

*valid-cons-inv-auto*[*elim*]: *valid*  $((x, T)\ \#\ \Gamma)$

The generated theorem is the following:

$$\llbracket valid\ ((x,\ T)\ \#\ \Gamma); \llbracket valid\ \Gamma; x\ \#\ \Gamma \rrbracket \implies P \rrbracket \implies P$$

## 2.1.2 Definition of sub contexts

**abbreviation**

$sub :: (name \times ty) list \Rightarrow (name \times ty) list \Rightarrow bool$  ( $- \ll -$  [55,55] 55)

**where**

$\Gamma_1 \ll \Gamma_2 \equiv \forall x T. (x, T) \in set \Gamma_1 \longrightarrow (x, T) \in set \Gamma_2$

## 2.1.3 Lemmas about contexts

**lemma** *type-unicity-in-context*:

**assumes**  $asm1: (x, t_2) \in set ((x, t_1) \# \Gamma)$

**and**  $asm2: valid ((x, t_1) \# \Gamma)$

**shows**  $t_1 = t_2$

**proof** –

**from**  $asm2$  **have**  $x \# \Gamma$  **by** (*cases, auto*)

**then have**  $(x, t_2) \notin set \Gamma$

**by** (*induct*  $\Gamma$ ) (*auto simp add: fresh-list-cons fresh-prod fresh-atm*)

**then have**  $(x, t_2) = (x, t_1)$  **using**  $asm1$  **by** *auto*

**then show**  $t_1 = t_2$  **by** *auto*

**qed**

**lemma** *case-distinction-on-context*:

**fixes**  $\Gamma :: (name \times ty) list$

**assumes**  $asm1: valid ((m, t) \# \Gamma)$

**and**  $asm2: (n, U) \in set ((m, T) \# \Gamma)$

**shows**  $(n, U) = (m, T) \vee ((n, U) \in set \Gamma \wedge n \neq m)$

**proof** –

**from**  $asm2$  **have**  $(n, U) \in set [(m, T)] \vee (n, U) \in set \Gamma$  **by** *auto*

**moreover**

{ **assume**  $eq: m = n$

**assume**  $(n, U) \in set \Gamma$

**then have**  $\neg n \# \Gamma$

**by** (*induct*  $\Gamma$ ) (*auto simp add: fresh-list-cons fresh-prod fresh-atm*)

**moreover have**  $m \# \Gamma$  **using**  $asm1$  **by** *auto*

**ultimately have** *False* **using**  $eq$  **by** *auto*

}

**ultimately show** *?thesis* **by** *auto*

**qed**

## 2.2 Definition of the typing relation

Now, we can define the typing judgements for terms. The rules are given in figure 1.

**lemma** *typing-valid*:

**assumes**  $\Gamma \vdash t : T$

**shows** *valid*  $\Gamma$

**using** *assms*

**by** (*induct*) (*auto*)

**nominal-inductive** *typing*

$$\begin{array}{c}
\frac{\text{valid } \Gamma \quad (x, T) \in \text{set } \Gamma}{\Gamma \vdash \text{Var } x : T} \text{T\_VAR} \quad \frac{\Gamma \vdash e_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash e_2 : T_1}{\Gamma \vdash \text{App } e_1 e_2 : T_2} \text{T\_APP} \\
\frac{x \# \Gamma \quad (x, T_1) \# \Gamma \vdash e : T_2}{\Gamma \vdash \text{Lam } [x].e : T_1 \rightarrow T_2} \text{T\_LAM} \\
\frac{\text{valid } \Gamma}{\Gamma \vdash \text{Const } n : \text{Data } \text{DNat}} \text{T\_CONST} \quad \frac{\Gamma \vdash e : \text{Data } (\text{DProd } S_1 S_2)}{\Gamma \vdash \text{Fst } e : \text{Data } S_1} \text{T\_FST} \\
\frac{\Gamma \vdash e : \text{Data } (\text{DProd } S_1 S_2)}{\Gamma \vdash \text{Snd } e : \text{Data } S_2} \text{T\_SND} \\
\frac{\Gamma \vdash e : \text{Data } S_1}{\Gamma \vdash \text{InL } e : \text{Data } (\text{DSum } S_1 S_2)} \text{T\_INL} \quad \frac{\Gamma \vdash e : \text{Data } S_2}{\Gamma \vdash \text{InR } e : \text{Data } (\text{DSum } S_1 S_2)} \text{T\_INR} \\
\frac{\Gamma \vdash e : \text{Data } (\text{DSum } S_1 S_2) \quad (x_1 \# (\Gamma, e, e_2, x_2) \quad (x_1, \text{Data } S_1) \# \Gamma \vdash e_1 : T \quad (x_2, \text{Data } S_2) \# \Gamma \vdash e_2 : T)}{\Gamma \vdash \text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 : T} \text{T\_CASE}
\end{array}$$

Figure 1: Typing rules

### 2.3 Inversion lemmas for the typing relation

We generate some inversion lemmas for the typing judgment and add them as elimination rules for the automatic tactics. During the generation of these lemmas, we need the injectivity properties of the constructor of the nominal datatypes. These are not added by default in the set of simplification rules to prevent unwanted simplifications in the rest of the development. In the future, the `inductive_cases` will be reworked to allow to use its own set of rules instead of the whole 'simpset'.

```

declare trm.inject [simp add]
declare ty.inject [simp add]
declare data.inject [simp add]

```

```

inductive_cases2 t-Lam-inv-auto[elim]:  $\Gamma \vdash \text{Lam } [x].t : T$ 
inductive_cases2 t-Var-inv-auto[elim]:  $\Gamma \vdash \text{Var } x : T$ 
inductive_cases2 t-App-inv-auto[elim]:  $\Gamma \vdash \text{App } x y : T$ 
inductive_cases2 t-Const-inv-auto[elim]:  $\Gamma \vdash \text{Const } n : T$ 
inductive_cases2 t-Fst-inv-auto[elim]:  $\Gamma \vdash \text{Fst } x : T$ 
inductive_cases2 t-Snd-inv-auto[elim]:  $\Gamma \vdash \text{Snd } x : T$ 
inductive_cases2 t-InL-inv-auto[elim]:  $\Gamma \vdash \text{InL } x : T$ 
inductive_cases2 t-InL-inv-auto'[elim]:  $\Gamma \vdash \text{InL } x : \text{Data } (\text{DSum } T_1 T_2)$ 
inductive_cases2 t-InR-inv-auto[elim]:  $\Gamma \vdash \text{InR } x : T$ 
inductive_cases2 t-InR-inv-auto'[elim]:  $\Gamma \vdash \text{InR } x : \text{Data } (\text{DSum } T_1 T_2)$ 
inductive_cases2 t-Pr-inv-auto[elim]:  $\Gamma \vdash \text{Pr } x y : T$ 
inductive_cases2 t-Pr-inv-auto'[elim]:  $\Gamma \vdash \text{Pr } e_1 e_2 : \text{Data } (\text{DProd } \sigma_1 \sigma_2)$ 
inductive_cases2 t-Case-inv-auto[elim]:  $\Gamma \vdash \text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 : T$ 

```

```

declare trm.inject [simp del]
declare ty.inject [simp del]
declare data.inject [simp del]

```

## 2.4 Strong induction principle

Now, we define a strong induction principle. This induction principle allow us to avoid some terms during the induction. The bound variable The avoided terms ( $c$ )

**lemma** *typing-induct-strong*

[*consumes 1, case-names t-Var t-App t-Lam t-Const t-Pr t-Fst t-Snd t-InL t-InR t-Case*]:

**fixes**  $P :: 'a :: fs\text{-name} \Rightarrow (\text{name} \times \text{ty}) \text{ list} \Rightarrow \text{trm} \Rightarrow \text{ty} \Rightarrow \text{bool}$   
**and**  $x :: 'a :: fs\text{-name}$   
**assumes**  $a: \Gamma \vdash e : T$   
**and**  $a1: \bigwedge \Gamma x T c. \llbracket \text{valid } \Gamma; (x, T) \in \text{set } \Gamma \rrbracket \Longrightarrow P c \Gamma (\text{Var } x) T$   
**and**  $a2: \bigwedge \Gamma e_1 T_1 T_2 e_2 c. \llbracket \Gamma \vdash e_1 : T_1 \rightarrow T_2; \bigwedge c. P c \Gamma e_1 (T_1 \rightarrow T_2); \Gamma \vdash e_2 : T_1; \bigwedge c. P c \Gamma e_2 T_1 \rrbracket \Longrightarrow P c \Gamma (\text{App } e_1 e_2) T_2$   
**and**  $a3: \bigwedge x \Gamma T_1 t T_2 c. \llbracket x \# (\Gamma, c); (x, T_1) \# \Gamma \vdash t : T_2; \bigwedge c. P c ((x, T_1) \# \Gamma) t T_2 \rrbracket \Longrightarrow P c \Gamma (\text{Lam } [x].t) (T_1 \rightarrow T_2)$   
**and**  $a4: \bigwedge \Gamma n c. \text{valid } \Gamma \Longrightarrow P c \Gamma (\text{Const } n) (\text{Data } \text{DNat})$   
**and**  $a5: \bigwedge \Gamma e_1 S_1 e_2 S_2 c. \llbracket \Gamma \vdash e_1 : \text{Data } S_1; \bigwedge c. P c \Gamma e_1 (\text{Data } S_1); \Gamma \vdash e_2 : \text{Data } S_2; \bigwedge c. P c \Gamma e_2 (\text{Data } S_2) \rrbracket \Longrightarrow P c \Gamma (\text{Pr } e_1 e_2) (\text{Data } (\text{DProd } S_1 S_2))$   
**and**  $a6: \bigwedge \Gamma e S_1 S_2 c. \llbracket \Gamma \vdash e : \text{Data } (\text{DProd } S_1 S_2); \bigwedge c. P c \Gamma e (\text{Data } (\text{DProd } S_1 S_2)) \rrbracket \Longrightarrow P c \Gamma (\text{Fst } e) (\text{Data } S_1)$   
**and**  $a7: \bigwedge \Gamma e S_1 S_2 c. \llbracket \Gamma \vdash e : \text{Data } (\text{DProd } S_1 S_2); \bigwedge c. P c \Gamma e (\text{Data } (\text{DProd } S_1 S_2)) \rrbracket \Longrightarrow P c \Gamma (\text{Snd } e) (\text{Data } S_2)$   
**and**  $a8: \bigwedge \Gamma e S_1 S_2 c. \llbracket \Gamma \vdash e : \text{Data } S_1; \bigwedge c. P c \Gamma e (\text{Data } S_1) \rrbracket \Longrightarrow P c \Gamma (\text{InL } e) (\text{Data } (\text{DSum } S_1 S_2))$   
**and**  $a9: \bigwedge \Gamma e S_2 S_1 c. \llbracket \Gamma \vdash e : \text{Data } S_2; \bigwedge c. P c \Gamma e (\text{Data } S_2) \rrbracket \Longrightarrow P c \Gamma (\text{InR } e) (\text{Data } (\text{DSum } S_1 S_2))$   
**and**  $a10: \bigwedge x_1 \Gamma e e_2 x_2 e_1 S_1 S_2 T c. \llbracket x_1 \# (\Gamma, e, e_2, x_2, c); x_2 \# (\Gamma, e, e_1, x_1, c); \Gamma \vdash e : \text{Data } (\text{DSum } S_1 S_2); \bigwedge c. P c \Gamma e (\text{Data } (\text{DSum } S_1 S_2)); (x_1, \text{Data } S_1) \# \Gamma \vdash e_1 : T; \bigwedge c. P c ((x_1, \text{Data } S_1) \# \Gamma) e_1 T; ((x_2, \text{Data } S_2) \# \Gamma) \vdash e_2 : T; \bigwedge c. P c ((x_2, \text{Data } S_2) \# \Gamma) e_2 T \rrbracket \Longrightarrow P c \Gamma (\text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2) T$   
**shows**  $P c \Gamma e T$

**proof** –

**from**  $a$  **have**  $\bigwedge (\pi :: \text{name } \text{prm}) c. P c (\pi \cdot \Gamma) (\pi \cdot e) T$

**proof** (*induct*)

**case** (*t-Var*  $\Gamma x T \pi c$ )

**have** *valid*  $\Gamma$  **by** *fact*

**then** **have** *valid*  $(\pi \cdot \Gamma)$  **by** (*simp only: eqvt*)

**moreover**

**have**  $(x, T) \in \text{set } \Gamma$  **by** *fact*

**then** **have**  $\pi \cdot (x, T) \in \pi \cdot (\text{set } \Gamma)$  **by** (*simp only: pt-set-bij[OF pt-name-inst, OF at-name-inst]*)

**then** **have**  $(\pi \cdot x, T) \in \text{set } (\pi \cdot \Gamma)$  **by** (*simp add: eqvt*)

**ultimately** **show**  $P c (\pi \cdot \Gamma) (\pi \cdot (\text{Var } x)) T$  **using**  $a1$  **by** *simp*

**next**

**case** (*t-App*  $\Gamma e_1 T_1 T_2 e_2 \pi c$ )

**thus**  $P c (\pi \cdot \Gamma) (\pi \cdot (\text{App } e_1 e_2)) T_2$  **using**  $a2$  **by** (*simp, blast intro: eqvt[simplified perm-ty]*)

**next**



```

case (t-Lam  $x \Gamma T_1 t T_2 \pi c$ )
obtain  $y::name$  where  $fs: y\#(\pi\cdot x, \pi\cdot\Gamma, \pi\cdot t, c)$  by (erule exists-fresh[OF fs-name1])
let  $?sw = [(\pi\cdot x, y)]$ 
let  $?pi' = ?sw@pi$ 
have  $f0: x\#\Gamma$  by fact
have  $f1: (\pi\cdot x)\#(\pi\cdot\Gamma)$  using  $f0$  by (simp add: fresh-bij)
have  $f2: y\#?pi'\cdot\Gamma$  by (auto simp add: pt-name2 fresh-left calc-atm perm-pi-simp)
have  $pr1: (x, T_1)\#\Gamma \vdash t : T_2$  by fact
then have  $(?pi'\cdot((x, T_1)\#\Gamma)) \vdash (?pi'\cdot t) : T_2$  by (simp only: typing-eqt[simplified perm-ty])
moreover
have  $ih1: \bigwedge c. P c (?pi'\cdot((x, T_1)\#\Gamma)) (?pi'\cdot t) T_2$  by fact
ultimately have  $P c (?pi'\cdot\Gamma) (Lam [y].(?pi'\cdot t)) (T_1 \rightarrow T_2)$  using  $fs f2 a3$ 
by (simp add: calc-atm)
then have  $P c (?sw\cdot\pi\cdot\Gamma) (?sw\cdot(Lam [(\pi\cdot x)].(\pi\cdot t))) (T_1 \rightarrow T_2)$ 
by (simp del: append-Cons add: calc-atm pt-name2)
moreover have  $(?sw\cdot\pi\cdot\Gamma) = (\pi\cdot\Gamma)$ 
by (rule perm-fresh-fresh) (simp-all add: fs f1)
moreover have  $(?sw\cdot(Lam [(\pi\cdot x)].(\pi\cdot t))) = Lam [(\pi\cdot x)].(\pi\cdot t)$ 
by (rule perm-fresh-fresh) (simp-all add: fs f1 fresh-atm abs-fresh)
ultimately show  $P c (\pi\cdot\Gamma) (\pi\cdot(Lam [x].t)) (T_1 \rightarrow T_2)$ 
by simp
next
case (t-Const  $\Gamma n \pi c$ )
thus  $P c (\pi\cdot\Gamma) (\pi\cdot(Const n)) (Data DNat)$  using  $a_4$  by (simp, blast intro: eqt)
next
case (t-Pr  $\Gamma e_1 S_1 e_2 S_2 \pi c$ )
thus  $P c (\pi\cdot\Gamma) (\pi\cdot(Pr e_1 e_2)) (Data (DProd S_1 S_2))$  using  $a_5$  by (simp, blast intro: eqt[simplified perm-ty])
next
case (t-Fst  $\Gamma e S_1 S_2 \pi c$ )
thus  $P c (\pi\cdot\Gamma) (\pi\cdot(Fst e)) (Data S_1)$  using  $a_6$  by (simp, blast intro: eqt[simplified perm-ty])
next
case (t-Snd  $\Gamma e S_1 S_2 \pi c$ )
thus  $P c (\pi\cdot\Gamma) (\pi\cdot(Snd e)) (Data S_2)$  using  $a_7$  by (simp, blast intro: eqt[simplified perm-ty])
next
case (t-InL  $\Gamma e S_1 S_2 \pi c$ )
thus  $P c (\pi\cdot\Gamma) (\pi\cdot(InL e)) (Data (DSum S_1 S_2))$  using  $a_8$  by (simp, blast intro: eqt[simplified perm-ty])
next
case (t-InR  $\Gamma e S_2 S_1 \pi c$ )
thus  $P c (\pi\cdot\Gamma) (\pi\cdot(InR e)) (Data (DSum S_1 S_2))$  using  $a_9$  by (simp, blast intro: eqt[simplified perm-ty])
next
case (t-Case  $x_1 \Gamma e e_2 x_2 e_1 S_1 S_2 T \pi c$ )
obtain  $y_1::name$  where  $fs1: y_1\#(\pi\cdot x_1, \pi\cdot x_2, \pi\cdot e, \pi\cdot e_1, \pi\cdot e_2, \pi\cdot\Gamma, c)$ 
by (erule exists-fresh[OF fs-name1])
obtain  $y_2::name$  where  $fs2: y_2\#(\pi\cdot x_1, \pi\cdot x_2, \pi\cdot e, \pi\cdot e_1, \pi\cdot e_2, \pi\cdot\Gamma, c, y_1)$ 
by (erule exists-fresh[OF fs-name1])
let  $?sw1 = [(\pi\cdot x_1, y_1)]$ 
let  $?sw2 = [(\pi\cdot x_2, y_2)]$ 
let  $?pi' = ?sw2@?sw1@pi$ 
have  $f01: x_1\#(\Gamma, e, e_2, x_2)$  by fact
have  $f11: (\pi\cdot x_1)\#(\pi\cdot\Gamma, \pi\cdot e, \pi\cdot e_2, \pi\cdot x_2)$  using  $f01$  by (simp add: fresh-bij)

```

**have**  $f21: y_1 \# (?pi' \cdot \Gamma, ?pi' \cdot e, ?pi' \cdot e_2)$  **using**  $f01 fs1 fs2$   
**by** (*simp add: fresh-atm fresh-prod fresh-left calc-atm pt-name2 perm-pi-simp*)  
**have**  $f02: x_2 \# (\Gamma, e, e_1, x_1)$  **by fact**  
**have**  $f12: (\pi \cdot x_2) \# (\pi \cdot \Gamma, \pi \cdot e, \pi \cdot e_1, \pi \cdot x_1)$  **using**  $f02$  **by** (*simp add: fresh-bij*)  
**have**  $f22: y_2 \# (?pi' \cdot \Gamma, ?pi' \cdot e, ?pi' \cdot e_1)$  **using**  $f02 fs1 fs2$   
**by** (*auto simp add: fresh-atm fresh-prod fresh-left calc-atm pt-name2 perm-pi-simp*)  
**have**  $pr1: \Gamma \vdash e : \text{Data } (D\text{Sum } S_1 S_2)$  **by fact**  
**then have**  $(?pi' \cdot \Gamma) \vdash (?pi' \cdot e) : \text{Data } (D\text{Sum } S_1 S_2)$  **by** (*simp only: eqvt[simplified perm-ty]*)  
**moreover**  
**have**  $pr2: (x_1, \text{Data } S_1) \# \Gamma \vdash e_1 : T$  **by fact**  
**then have**  $(?pi' \cdot ((x_1, \text{Data } S_1) \# \Gamma)) \vdash (?pi' \cdot e_1) : T$  **by** (*simp only: typing-eqvt[simplified perm-ty]*)  
**then have**  $(y_1, \text{Data } S_1) \# (?pi' \cdot \Gamma) \vdash (?pi' \cdot e_1) : T$  **using**  $fs1 fs2$   
**by** (*auto simp add: calc-atm fresh-prod fresh-atm*)  
**moreover**  
**have**  $pr2: (x_2, \text{Data } S_2) \# \Gamma \vdash e_2 : T$  **by fact**  
**then have**  $(?pi' \cdot ((x_2, \text{Data } S_2) \# \Gamma)) \vdash (?pi' \cdot e_2) : T$  **by** (*simp only: typing-eqvt[simplified perm-ty]*)  
**then have**  $(y_2, \text{Data } S_2) \# (?pi' \cdot \Gamma) \vdash (?pi' \cdot e_2) : T$  **using**  $fs1 fs2 f11 f12$   
**by** (*simp add: calc-atm fresh-prod fresh-atm*)  
**moreover**  
**have**  $ih1: \bigwedge c. P c (?pi' \cdot \Gamma) (?pi' \cdot e) (\text{Data } (D\text{Sum } S_1 S_2))$  **by fact**  
**moreover**  
**have**  $ih2: \bigwedge c. P c (?pi' \cdot ((x_1, \text{Data } S_1) \# \Gamma)) (?pi' \cdot e_1) T$  **by fact**  
**then have**  $\bigwedge c. P c ((y_1, \text{Data } S_1) \# (?pi' \cdot \Gamma)) (?pi' \cdot e_1) T$  **using**  $fs1 fs2$   
**by** (*auto simp add: calc-atm fresh-prod fresh-atm*)  
**moreover**  
**have**  $ih3: \bigwedge c. P c (?pi' \cdot ((x_2, \text{Data } S_2) \# \Gamma)) (?pi' \cdot e_2) T$  **by fact**  
**then have**  $\bigwedge c. P c ((y_2, \text{Data } S_2) \# (?pi' \cdot \Gamma)) (?pi' \cdot e_2) T$  **using**  $fs1 fs2 f11 f12$   
**by** (*simp add: calc-atm fresh-prod fresh-atm*)  
**ultimately have**  $P c (?pi' \cdot \Gamma) (\text{Case } (?pi' \cdot e) \text{ of } \text{inl } y_1 \rightarrow (?pi' \cdot e_1) \mid \text{inr } y_2 \rightarrow (?pi' \cdot e_2)) T$   
**using**  $f21 f22 fs1 fs2 a10$  **by** (*auto simp add: fresh-atm fresh-prod*)  
**then have**  $P c (?sw2 \cdot ?sw1 \cdot \pi \cdot \Gamma)$   
 $(?sw2 \cdot ?sw1 \cdot (\text{Case } (\pi \cdot e) \text{ of } \text{inl } (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid \text{inr } (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))) T$   
**using**  $fs1 fs2 f01 f02 f11 f12$   
**by** (*auto simp del: append-Cons simp add: pt-name2 fresh-atm fresh-prod calc-atm*)  
**moreover have**  $(?sw1 \cdot \pi \cdot \Gamma) = (\pi \cdot \Gamma)$   
**by** (*rule perm-fresh-fresh*) (*simp-all add: fs1 f11*)  
**moreover have**  $(?sw2 \cdot \pi \cdot \Gamma) = (\pi \cdot \Gamma)$   
**by** (*rule perm-fresh-fresh*) (*simp-all add: fs2 f12*)  
**moreover have**  $?sw1 \cdot (\text{Case } (\pi \cdot e) \text{ of } \text{inl } (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid \text{inr } (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
 $= (\text{Case } (\pi \cdot e) \text{ of } \text{inl } (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid \text{inr } (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
**by** (*rule perm-fresh-fresh*) (*simp-all add: fs1 fs2 f11 f12 abs-fresh*)  
**moreover have**  $?sw2 \cdot (\text{Case } (\pi \cdot e) \text{ of } \text{inl } (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid \text{inr } (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
 $= (\text{Case } (\pi \cdot e) \text{ of } \text{inl } (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid \text{inr } (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
**by** (*rule perm-fresh-fresh*) (*simp-all add: fs1 fs2 f11 f12 abs-fresh*)  
**ultimately show**  $P c (\pi \cdot \Gamma) (\pi \cdot (\text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2)) T$   
**by** (*simp only: simp*)  
**qed**  
**then have**  $P c (([] :: \text{name prm}) \cdot \Gamma) (([] :: \text{name prm}) \cdot e) T$  **by blast**  
**then show**  $P c \Gamma e T$  **by simp**  
**qed**

## 2.5 Strong inversion lemmas

Now, we derive strong inversion lemmas for the `t_Lam` and `t_Case` rule.

**lemma** *t-Lam-elim*[*elim*] :

**assumes**  $a1:\Gamma \vdash \text{Lam } [x].t : T$

**and**  $a2: x\#\Gamma$

**obtains**  $T_1$  **and**  $T_2$  **where**  $(x, T_1)\#\Gamma \vdash t : T_2$  **and**  $T = T_1 \rightarrow T_2$

**proof** –

**from**  $a1$  **obtain**  $x' t' T_1 T_2$

**where**  $b1: x'\#\Gamma$  **and**  $b2: (x', T_1)\#\Gamma \vdash t' : T_2$  **and**  $b3: [x']\cdot t' = [x]\cdot t$  **and**  $b4: T = T_1 \rightarrow T_2$

**by** *auto*

**obtain**  $c::\text{name}$  **where**  $c\#(\Gamma, x, x', t, t')$  **by** (*erule exists-fresh[OF fs-name1]*)

**then have**  $fs: c\#\Gamma \ c\neq x \ c\neq x' \ c\neq t \ c\neq t'$  **by** (*simp-all add: fresh-atm[symmetric]*)

**then have**  $b5: [(x', c)]\cdot t' = [(x, c)]\cdot t$  **using**  $b3$   $fs$  **by** (*simp add: alpha'*)

**have**  $([(x, c)]\cdot [(x', c)]\cdot ((x', T_1)\#\Gamma)) \vdash ((x, c)]\cdot [(x', c)]\cdot t' : T_2$  **using**  $b2$

**by** (*simp only: typing-eqvt[simplified perm-ty]*)

**then have**  $(x, T_1)\#\Gamma \vdash t : T_2$  **using**  $fs$   $b1$   $a2$   $b5$  **by** (*perm-simp add: calc-atm*)

**then show** *?thesis* **using** *prems*  $b4$  **by** *simp*

**qed**

**lemma** *t-Case-elim*[*elim*] :

**assumes**  $\Gamma \vdash \text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 : T$  **and**  $x_1\#\Gamma$  **and**  $x_2\#\Gamma$

**obtains**  $\sigma_1 \sigma_2$  **where**  $\Gamma \vdash e : \text{Data } (D\text{Sum } \sigma_1 \sigma_2)$  **and**  $(x_1, \text{Data } \sigma_1)\#\Gamma \vdash e_1 : T$  **and**  $(x_2, \text{Data } \sigma_2)\#\Gamma \vdash e_2 : T$

**proof** –

**have**  $f: x_1\#\Gamma \ x_2\#\Gamma$  **by** *fact*

**have**  $\Gamma \vdash \text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 : T$  **by** *fact*

**then obtain**  $\sigma_1 \sigma_2 x_1' x_2' e_1' e_2'$  **where**

$h:\Gamma \vdash e : \text{Data } (D\text{Sum } \sigma_1 \sigma_2)$  **and**

$h1:(x_1', \text{Data } \sigma_1)\#\Gamma \vdash e_1' : T$  **and**

$h2:(x_2', \text{Data } \sigma_2)\#\Gamma \vdash e_2' : T$  **and**

$e1:[x_1]\cdot e_1 = [x_1']\cdot e_1'$  **and**  $e2:[x_2]\cdot e_2 = [x_2']\cdot e_2'$

**by** *auto*

**obtain**  $c::\text{name}$  **where**  $f':c \# (x_1, x_1', e_1, e_1', \Gamma)$  **by** (*erule exists-fresh[OF fs-name1]*)

**have**  $e1': [(x_1, c)]\cdot e_1 = [(x_1', c)]\cdot e_1'$  **using**  $e1$   $f'$  **by** (*auto simp add: alpha' fresh-prod fresh-atm*)

**have**  $[(x_1', c)]\cdot ((x_1', \text{Data } \sigma_1)\#\Gamma) \vdash [(x_1', c)]\cdot e_1' : T$  **using**  $h1$  *typing-eqvt[simplified perm-ty]*

**by** *blast*

**then have**  $x:(c, \text{Data } \sigma_1)\#([x_1', c])\cdot \Gamma \vdash [(x_1', c)]\cdot e_1' : T$  **using**  $f'$  **by** (*auto simp add: fresh-atm calc-atm*)

**have**  $x_1' \# \Gamma$  **using**  $h1$  *typing-valid* **by** *auto*

**then have**  $(c, \text{Data } \sigma_1)\#\Gamma \vdash [(x_1, c)]\cdot e_1 : T$  **using**  $f' x e1'$  **by** (*auto simp add: perm-fresh-fresh*)

**then have**  $[(x_1, c)]\cdot ((c, \text{Data } \sigma_1)\#\Gamma) \vdash [(x_1, c)]\cdot [(x_1, c)]\cdot e_1 : T$  **using** *typing-eqvt[simplified perm-ty]* **by** *blast*

**then have**  $([(x_1, c)]\cdot (c, \text{Data } \sigma_1)) \#\Gamma \vdash [(x_1, c)]\cdot [(x_1, c)]\cdot e_1 : T$  **using**  $f f'$  **by** (*auto simp add: perm-fresh-fresh*)

**then have**  $([(x_1, c)]\cdot (c, \text{Data } \sigma_1)) \#\Gamma \vdash e_1 : T$  **by** *perm-simp*

**then have**  $g1:(x_1, \text{Data } \sigma_1)\#\Gamma \vdash e_1 : T$  **using**  $f'$  **by** (*auto simp add: fresh-atm calc-atm fresh-prod*)

**obtain**  $c::\text{name}$  **where**  $f':c \# (x_2, x_2', e_2, e_2', \Gamma)$  **by** (*erule exists-fresh[OF fs-name1]*)

**have**  $e2': [(x_2, c)]\cdot e_2 = [(x_2', c)]\cdot e_2'$  **using**  $e2$   $f'$  **by** (*auto simp add: alpha' fresh-prod fresh-atm*)

**have**  $[(x_2', c)]\cdot ((x_2', \text{Data } \sigma_2)\#\Gamma) \vdash [(x_2', c)]\cdot e_2' : T$  **using**  $h2$  *typing-eqvt[simplified perm-ty]*

```

by blast
  then have  $x:(c, \text{Data } \sigma_2) \# ((x_2', c) \cdot \Gamma) \vdash [(x_2', c)] \cdot e_2' : T$  using  $f'$  by (auto simp add: fresh-atm calc-atm)
    have  $x_2' \# \Gamma$  using h2 typing-valid by auto
    then have  $(c, \text{Data } \sigma_2) \# \Gamma \vdash [(x_2, c)] \cdot e_2 : T$  using  $f' x e_2'$  by (auto simp add: perm-fresh-fresh)
    then have  $[(x_2, c)] \cdot ((c, \text{Data } \sigma_2) \# \Gamma) \vdash [(x_2, c)] \cdot [(x_2, c)] \cdot e_2 : T$  using typing-eqvt[simplified perm-ty] by blast
    then have  $[(x_2, c)] \cdot (c, \text{Data } \sigma_2) \# \Gamma \vdash [(x_2, c)] \cdot [(x_2, c)] \cdot e_2 : T$  using  $f f'$  by (auto simp add: perm-fresh-fresh)
    then have  $[(x_2, c)] \cdot (c, \text{Data } \sigma_2) \# \Gamma \vdash e_2 : T$  by perm-simp
    then have  $g_2:(x_2, \text{Data } \sigma_2) \# \Gamma \vdash e_2 : T$  using  $f'$  by (auto simp add: fresh-atm calc-atm fresh-prod)
    show ?thesis using  $g_1 g_2$  prems by auto
  qed

```

### 3 Substitutions

Capture-avoiding substitution

#### 3.1 Lookup function

```

fun
  lookup :: (name  $\times$  trm) list  $\Rightarrow$  name  $\Rightarrow$  trm
where
  lookup []  $x$  = Var  $x$ 
  lookup (( $y, e$ )  $\#$   $\theta$ )  $x$  = (if  $x=y$  then  $e$  else lookup  $\theta$   $x$ )

```

```

lemma lookup-eqvt:
  fixes  $\pi::\text{name prm}$ 
  and  $\theta::(\text{name} \times \text{trm}) \text{ list}$ 
  and  $X::\text{name}$ 
  shows  $\pi \cdot (\text{lookup } \theta X) = \text{lookup } (\pi \cdot \theta) (\pi \cdot X)$ 
by (induct  $\theta$ , auto simp add: perm-bij)

```

```

lemma lookup-fresh:
  fixes  $z::\text{name}$ 
  assumes  $z \# \theta$  and  $z \# x$ 
  shows  $z \# \text{lookup } \theta x$ 
using assms
by (induct rule: lookup.induct) (auto simp add: fresh-list-cons)

```

```

lemma lookup-fresh':
  assumes  $z \# \theta$ 
  shows lookup  $\theta z = \text{Var } z$ 
using assms
by (induct rule: lookup.induct)
  (auto simp add: fresh-list-cons fresh-prod fresh-atm)

```

#### 3.2 Parallel substitution

**consts**

$psubst :: (name \times trm) list \Rightarrow trm \Rightarrow trm \text{ (-<-> [100,100] 100)}$

#### nominal-primrec

```

 $\theta \langle (Var\ x) \rangle = (lookup\ \theta\ x)$ 
 $\theta \langle (App\ e_1\ e_2) \rangle = App\ (\theta \langle e_1 \rangle)\ (\theta \langle e_2 \rangle)$ 
 $x \# \theta \implies \theta \langle (Lam\ [x].e) \rangle = Lam\ [x].(\theta \langle e \rangle)$ 
 $\theta \langle (Const\ n) \rangle = Const\ n$ 
 $\theta \langle (Pr\ e_1\ e_2) \rangle = Pr\ (\theta \langle e_1 \rangle)\ (\theta \langle e_2 \rangle)$ 
 $\theta \langle (Fst\ e) \rangle = Fst\ (\theta \langle e \rangle)$ 
 $\theta \langle (Snd\ e) \rangle = Snd\ (\theta \langle e \rangle)$ 
 $\theta \langle (InL\ e) \rangle = InL\ (\theta \langle e \rangle)$ 
 $\theta \langle (InR\ e) \rangle = InR\ (\theta \langle e \rangle)$ 
 $\llbracket y \neq x; x \# (e, e_2, \theta); y \# (e, e_1, \theta) \rrbracket$ 
 $\implies \theta \langle (Case\ e\ of\ inl\ x \rightarrow e_1 \mid inr\ y \rightarrow e_2) \rangle =$ 
 $(Case\ (\theta \langle e \rangle)\ of\ inl\ x \rightarrow (\theta \langle e_1 \rangle) \mid inr\ y \rightarrow (\theta \langle e_2 \rangle))$ 
apply(finite-guess add: fs-name1 lookup-eqvt)+
apply(perm-full-simp)
apply(simp add: fs-name1)
apply(rule TrueI)+
apply(simp add: abs-fresh)+
apply(fresh-guess add: fs-name1 lookup-eqvt)+
apply(perm-full-simp)
apply(fresh-guess add: fs-name1 lookup-eqvt)+
apply(perm-full-simp)
apply(fresh-guess add: fs-name1 lookup-eqvt)
apply(perm-full-simp)
apply(simp-all)
done

```

#### lemma psubst-*eqvt*[*eqvt*]:

```

fixes  $\pi :: name\ prm$ 
and  $t :: trm$ 
shows  $\pi \cdot (\theta \langle t \rangle) = (\pi \cdot \theta) \langle \pi \cdot t \rangle$ 
by (nominal-induct t avoiding:  $\theta$  rule: trm.induct)
    (perm-simp add: fresh-bij lookup-eqvt)+

```

#### lemma fresh-*psubst*:

```

fixes  $z :: name$ 
and  $t :: trm$ 
assumes  $z \# t$  and  $z \# \theta$ 
shows  $z \# (\theta \langle t \rangle)$ 
using assms
by (nominal-induct t avoiding:  $z\ \theta\ t$  rule: trm.induct)
    (auto simp add: abs-fresh lookup-fresh)

```

### 3.3 Substitution

The substitution function is defined just as a special case of parallel substitution.

#### abbreviation

```

 $subst :: trm \Rightarrow name \Rightarrow trm \Rightarrow trm \text{ (-[::=-] [100,100,100] 100)}$ 
where  $t[x::=t'] \equiv ((x, t')) \langle t \rangle$ 

```

#### lemma subst[*simp*]:

**shows**  $(\text{Var } x)[y::=t'] = (\text{if } x=y \text{ then } t' \text{ else } (\text{Var } x))$   
**and**  $(\text{App } t_1 t_2)[y::=t'] = \text{App } (t_1[y::=t']) (t_2[y::=t'])$   
**and**  $x\#(y,t') \implies (\text{Lam } [x].t)[y::=t'] = \text{Lam } [x].(t[y::=t'])$   
**and**  $(\text{Const } n)[y::=t'] = \text{Const } n$   
**and**  $(\text{Pr } e_1 e_2)[y::=t'] = \text{Pr } (e_1[y::=t']) (e_2[y::=t'])$   
**and**  $(\text{Fst } e)[y::=t'] = \text{Fst } (e[y::=t'])$   
**and**  $(\text{Snd } e)[y::=t'] = \text{Snd } (e[y::=t'])$   
**and**  $(\text{InL } e)[y::=t'] = \text{InL } (e[y::=t'])$   
**and**  $(\text{InR } e)[y::=t'] = \text{InR } (e[y::=t'])$   
**and**  $\llbracket z \neq x; x\#(y,e,e_2,t'); z\#(y,e,e_1,t') \rrbracket$   
 $\implies (\text{Case } e \text{ of } \text{inl } x \rightarrow e_1 \mid \text{inr } z \rightarrow e_2)[y::=t'] =$   
 $(\text{Case } (e[y::=t']) \text{ of } \text{inl } x \rightarrow (e_1[y::=t']) \mid \text{inr } z \rightarrow (e_2[y::=t']))$   
**by** (*simp-all add: fresh-list-cons fresh-list-nil*)

**lemma** *subst-eqvt*[*eqvt*]:

**fixes**  $\pi::\text{name prm}$   
**and**  $t::\text{trm}$   
**shows**  $\pi \cdot (t[x::=t']) = (\pi \cdot t)[(\pi \cdot x)::=(\pi \cdot t')]$   
**by** (*nominal-induct t avoiding: x t' rule: trm.induct*)  
*(perm-simp add: fresh-bij)+*

### 3.4 Lemmas about freshness and substitution

**lemma** *subst-rename*:

**fixes**  $c::\text{name}$   
**and**  $t_1::\text{trm}$   
**assumes**  $c\#t_1$   
**shows**  $t_1[a::=t_2] = (([c,a]) \cdot t_1)[c::=t_2]$   
**using** *assms*  
**apply**(*nominal-induct t\_1 avoiding: a c t\_2 rule: trm.induct*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def*)  
**apply**(*simp (no-asm-use)*)  
**apply**(*rule sym*)  
**apply**(*rule trans*)  
**apply**(*rule subst*)  
**apply**(*simp add: perm-bij*)  
**apply**(*simp add: fresh-prod*)  
**apply**(*simp add: fresh-bij*)  
**apply**(*simp add: calc-atm fresh-atm*)  
**apply**(*simp add: fresh-prod*)  
**apply**(*simp add: fresh-bij*)  
**apply**(*simp add: calc-atm fresh-atm*)  
**apply**(*rule sym*)  
**apply**(*rule trans*)

```

apply(rule subst)
apply(simp add: fresh-atm)
apply(simp)
apply(simp)
apply(simp (no-asm-use) add: trm.inject)
apply(rule conjI)
apply(blast)
apply(rule conjI)
apply(rotate-tac 12)
apply(drule-tac x=a in meta-spec)
apply(rotate-tac 14)
apply(drule-tac x=c in meta-spec)
apply(rotate-tac 14)
apply(drule-tac x=t2 in meta-spec)
apply(simp add: calc-atm fresh-atm alpha abs-fresh)
apply(rotate-tac 13)
apply(drule-tac x=a in meta-spec)
apply(rotate-tac 14)
apply(drule-tac x=c in meta-spec)
apply(rotate-tac 14)
apply(drule-tac x=t2 in meta-spec)
apply(simp add: calc-atm fresh-atm alpha abs-fresh)
done

```

```

lemma fresh-subst:
  fixes z::name
  and t1::trm
  and t2::trm
  assumes z#t1 and z#t2
  shows z#t1[y::=t2]
using assms
by (nominal-induct t1 avoiding: z y t2 rule: trm.induct)
  (auto simp add: abs-fresh fresh-atm)

```

```

lemma fresh-subst':
  fixes z::name
  and t1::trm
  and t2::trm
  assumes z#[y].t1 and z#t2
  shows z#t1[y::=t2]
using assms
by (nominal-induct t1 avoiding: y t2 z rule: trm.induct)
  (auto simp add: abs-fresh fresh-nat fresh-atm)

```

```

lemma forget:
  fixes x::name
  and L::trm
  assumes x#L
  shows L[x::=P] = L
using assms
by (nominal-induct L avoiding: x P rule: trm.induct)
  (auto simp add: fresh-atm abs-fresh)

```

**lemma** *subst-fun-eq*:  
**fixes**  $u::\text{trm}$   
**assumes**  $[x].t_1 = [y].t_2$   
**shows**  $t_1[x::=u] = t_2[y::=u]$   
**proof** –  
{  
  **assume**  $x=y$  **and**  $t_1=t_2$   
  **then have** *?thesis* **using** *assms* **by** *simp*  
}  
**moreover**  
{  
  **assume**  $h1:x \neq y$  **and**  $h2:t_1=[(x,y)] \cdot t_2$  **and**  $h3:x \# t_2$   
  **then have**  $([(x,y)] \cdot t_2)[x::=u] = t_2[y::=u]$  **by** (*simp add: subst-rewrite*)  
  **then have** *?thesis* **using**  $h2$  **by** *simp*  
}  
**ultimately show** *?thesis* **using** *alpha assms* **by** *blast*  
**qed**

**lemma** *psubst-empty[simp]*:  
**shows**  $\llbracket \langle t \rangle = t$   
**by** (*nominal-induct t rule: trm.induct, auto simp add: fresh-list-nil*)

**lemma** *psubst-subst-psubst*:  
**assumes**  $h:c \# \theta$   
**shows**  $\theta \langle t \rangle [c::=s] = ((c,s)\#\theta) \langle t \rangle$   
**using**  $h$   
**apply**(*nominal-induct t avoiding: \theta c s rule: trm.induct*)  
**apply**(*auto simp add: fresh-list-cons fresh-atm forget lookup-fresh lookup-fresh' fresh-psubst*)  
**done**

**lemma** *fresh-subst-fresh*:  
**assumes**  $a\#e$   
**shows**  $a\#t[a::=e]$   
**using** *assms*  
**by** (*nominal-induct t avoiding: a e rule: trm.induct*)  
(*auto simp add: fresh-atm abs-fresh fresh-nat*)

## 4 Big step semantic

### inductive2

$big :: \text{trm} \Rightarrow \text{trm} \Rightarrow \text{bool} \ (- \Downarrow - [80,80] 80)$

#### where

$b\text{-Lam}[intro]: \text{Lam } [x].e \Downarrow \text{Lam } [x].e$   
 $| b\text{-App}[intro]: \llbracket x\#(e_1, e_2, e') \rrbracket; e_1 \Downarrow \text{Lam } [x].e; e_2 \Downarrow e_2'; e[x::=e_2'] \Downarrow e' \rrbracket \Longrightarrow \text{App } e_1 \ e_2 \Downarrow e'$   
 $| b\text{-Const}[intro]: \text{Const } n \Downarrow \text{Const } n$   
 $| b\text{-Pr}[intro]: \llbracket e_1 \Downarrow e_1'; e_2 \Downarrow e_2' \rrbracket \Longrightarrow \text{Pr } e_1 \ e_2 \Downarrow \text{Pr } e_1' \ e_2'$   
 $| b\text{-Fst}[intro]: e \Downarrow \text{Pr } e_1 \ e_2 \Longrightarrow \text{Fst } e \Downarrow e_1$   
 $| b\text{-Snd}[intro]: e \Downarrow \text{Pr } e_1 \ e_2 \Longrightarrow \text{Snd } e \Downarrow e_2$   
 $| b\text{-InL}[intro]: e \Downarrow e' \Longrightarrow \text{InL } e \Downarrow \text{InL } e'$   
 $| b\text{-InR}[intro]: e \Downarrow e' \Longrightarrow \text{InR } e \Downarrow \text{InR } e'$   
 $| b\text{-CaseL}[intro]: \llbracket x_1\#(e, e_2, e'', x_2); x_2\#(e, e_1, e'', x_1) \rrbracket; e \Downarrow \text{InL } e'; e_1[x_1::=e'] \Downarrow e'' \rrbracket$   
 $\Longrightarrow \text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 \Downarrow e''$



| *b-CaseR*[*intro*]:  $\llbracket x_1 \# (e, e_2, e'', x_2); x_2 \# (e, e_1, e'', x_1) \rrbracket; e \Downarrow \text{InR } e'; e_2[x_2 ::= e'] \Downarrow e''$   
 $\implies \text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 \Downarrow e''$

**nominal-inductive** *big*

**lemma** *fresh-preserved*:

**fixes** *x*::*name*

**fixes** *t*::*trm*

**fixes** *t'*::*trm*

**assumes**  $t \Downarrow t'$  **and**  $x \# t$

**shows**  $x \# t'$

**using** *assms* **by** (*induct*) (*auto simp add: fresh-subst'*)

## 4.1 Inversion lemmas for the big step relation

**declare** *trm.inject* [*simp add*]

**declare** *ty.inject* [*simp add*]

**declare** *data.inject* [*simp add*]

**inductive-cases2** *b-App-inv-auto*[*elim*]:  $\text{App } e_1 \ e_2 \Downarrow t$

**inductive-cases2** *b-Case-inv-auto*[*elim*]:  $\text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 \Downarrow t$

**inductive-cases2** *b-Lam-inv-auto*[*elim*]:  $\text{Lam } [x].t \Downarrow t$

**inductive-cases2** *b-Const-inv-auto*[*elim*]:  $\text{Const } n \Downarrow t$

**inductive-cases2** *b-Fst-inv-auto*[*elim*]:  $\text{Fst } e \Downarrow t$

**inductive-cases2** *b-Snd-inv-auto*[*elim*]:  $\text{Snd } e \Downarrow t$

**inductive-cases2** *b-InL-inv-auto*[*elim*]:  $\text{InL } e \Downarrow t$

**inductive-cases2** *b-InR-inv-auto*[*elim*]:  $\text{InR } e \Downarrow t$

**inductive-cases2** *b-Pr-inv-auto*[*elim*]:  $\text{Pr } e_1 \ e_2 \Downarrow t$

**declare** *trm.inject* [*simp del*]

**declare** *ty.inject* [*simp del*]

**declare** *data.inject* [*simp del*]

## 4.2 Strong induction principle for the big step relation

**lemma** *big-induct-strong*

[*consumes 1*, *case-names* *b-Lam b-App b-Const b-Pr b-Fst b-Snd b-InL b-InR b-CaseL b-CaseR*]:

**fixes**  $P :: 'a :: \text{fs-name} \Rightarrow \text{trm} \Rightarrow \text{trm} \Rightarrow \text{bool}$

**and**  $x :: 'a :: \text{fs-name}$

**assumes**  $a: t \Downarrow t'$

**and**  $a1: \bigwedge x \ e \ c. P \ c \ (\text{Lam } [x].e) \ (\text{Lam } [x].e)$

**and**  $a2: \bigwedge x \ e_1 \ e_2 \ e_2' \ e' \ e_1' \ c.$

$\llbracket x \# (e_1, e_2, e', c); e_1 \Downarrow \text{Lam } [x].e_1'; (\bigwedge c. P \ c \ e_1 \ (\text{Lam } [x].e_1'));$

$e_2 \Downarrow e_2'; (\bigwedge c. P \ c \ e_2 \ e_2'); e_1' [x ::= e_2'] \Downarrow e'; (\bigwedge c. P \ c \ (e_1' [x ::= e_2'] \ e'))$

$\implies P \ c \ (\text{App } e_1 \ e_2) \ e'$

**and**  $a3: \bigwedge n \ c. P \ c \ (\text{Const } n) \ (\text{Const } n)$

**and**  $a4: \bigwedge e_1 \ e_1' \ e_2 \ e_2' \ c.$

$\llbracket e_1 \Downarrow e_1'; (\bigwedge c. P \ c \ e_1 \ e_1'); e_2 \Downarrow e_2'; (\bigwedge c. P \ c \ e_2 \ e_2') \rrbracket$

$\implies P \ c \ (\text{Pr } e_1 \ e_2) \ (\text{Pr } e_1' \ e_2')$

**and**  $a5: \bigwedge e \ e_1 \ e_2 \ c. \llbracket e \Downarrow \text{Pr } e_1 \ e_2; (\bigwedge c. P \ c \ e \ (\text{Pr } e_1 \ e_2)) \rrbracket \implies P \ c \ (\text{Fst } e) \ e_1$

**and**  $a6: \bigwedge e \ e_1 \ e_2 \ c. \llbracket e \Downarrow \text{Pr } e_1 \ e_2; (\bigwedge c. P \ c \ e \ (\text{Pr } e_1 \ e_2)) \rrbracket \implies P \ c \ (\text{Snd } e) \ e_2$

**and**  $a7: \bigwedge e \ e' \ c. \llbracket e \Downarrow e'; (\bigwedge c. P \ c \ e \ e') \rrbracket \implies P \ c \ (\text{InL } e) \ (\text{InL } e')$

**and**  $a8: \bigwedge e \ e' \ c. \llbracket e \Downarrow e'; (\bigwedge c. P \ c \ e \ e') \rrbracket \implies P \ c \ (\text{InR } e) \ (\text{InR } e')$

**and a9:**  $\bigwedge x_1 e e_2 e'' x_2 e_1 e' c.$   
 $\llbracket x_1 \#(e, e_2, e'', x_2, c); x_2 \#(e, e_1, e'', x_1, c); e \Downarrow \text{InL } e'; (\bigwedge c. P c e (\text{InL } e'));$   
 $e_1[x_1 ::= e'] \Downarrow e''; (\bigwedge c. P c (e_1[x_1 ::= e']) e'') \rrbracket$   
 $\implies P c (\text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2) e''$   
**and a10:**  $\bigwedge x_1 e e_2 e'' x_2 e_1 e' c.$   
 $\llbracket x_1 \#(e, e_2, e'', x_2, c); x_2 \#(e, e_1, e'', x_1, c); e \Downarrow \text{InR } e'; (\bigwedge c. P c e (\text{InR } e'));$   
 $e_2[x_2 ::= e'] \Downarrow e''; (\bigwedge c. P c (e_2[x_2 ::= e']) e'') \rrbracket$   
 $\implies P c (\text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2) e''$   
**shows**  $P c t t'$

**proof –**

**from a** have  $\bigwedge(\pi :: \text{name prm}) c. P c (\pi \cdot t) (\pi \cdot t')$

**proof** (*induct*)

**case** (*b-Lam*  $x e \pi c$ )

**show**  $P c (\pi \cdot (\text{Lam } [x].e)) (\pi \cdot (\text{Lam } [x].e))$  **using** *a1* **by** *simp*

**next**

**case** (*b-App*  $x e_1 e_2 e' e_1' e_2' \pi c$ )

**obtain**  $y :: \text{name}$  **where**  $fs: y \#(\pi \cdot x, \pi \cdot e_1, \pi \cdot e_2, \pi \cdot e_2', \pi \cdot e', \pi \cdot e_1, c)$  **by** (*erule exists-fresh[OF fs-name1]*)

**let**  $?sw = [(\pi \cdot x, y)]$

**let**  $?pi' = ?sw @ \pi$

**have**  $f0: x \#(e_1, e_2, e')$  **by** *fact*

**have**  $f1: (\pi \cdot x) \#(\pi \cdot e_1, \pi \cdot e_2, \pi \cdot e')$  **using**  $f0$  **by** (*simp add: fresh-bij*)

**have**  $f2: y \#(?pi' \cdot e_1, ?pi' \cdot e_2, ?pi' \cdot e')$  **using**  $f0$

**by** (*auto simp add: pt-name2 fresh-left calc-atm perm-pi-simp fresh-prod*)

**have**  $p1: e_1 \Downarrow \text{Lam } [x].e_1'$  **by** *fact*

**then have**  $(?pi' \cdot e_1) \Downarrow (?pi' \cdot \text{Lam } [x].e_1')$  **by** (*simp only: big-eqvt*)

**moreover**

**have**  $p2: e_2 \Downarrow e_2'$  **by** *fact*

**then have**  $(?pi' \cdot e_2) \Downarrow (?pi' \cdot e_2')$  **by** (*simp only: big-eqvt*)

**moreover**

**have**  $p3: e_1'[x ::= e_2'] \Downarrow e'$  **by** *fact*

**then have**  $(?pi' \cdot (e_1'[x ::= e_2'])) \Downarrow (?pi' \cdot e')$  **by** (*simp only: big-eqvt*)

**then have**  $(?pi' \cdot e_1')[y ::= (?pi' \cdot e_2')] \Downarrow (?pi' \cdot e')$  **by** (*simp add: subst-eqvt calc-atm*)

**moreover**

**have**  $ih1: \bigwedge c. P c (?pi' \cdot e_1) (?pi' \cdot (\text{Lam } [x].e_1'))$  **by** *fact*

**then have**  $\bigwedge c. P c (?pi' \cdot e_1) (\text{Lam } [y].(?pi' \cdot e_1'))$  **by** (*simp add: calc-atm*)

**moreover**

**have**  $ih2: \bigwedge c. P c (?pi' \cdot e_2) (?pi' \cdot e_2')$  **by** *fact*

**moreover**

**have**  $ih3: \bigwedge c. P c (?pi' \cdot (e_1'[x ::= e_2'])) (?pi' \cdot e')$  **by** *fact*

**then have**  $\bigwedge c. P c ((?pi' \cdot e_1')[y ::= (?pi' \cdot e_2')]) (?pi' \cdot e')$  **by** (*simp add: calc-atm subst-eqvt*)

**ultimately have**  $P c (\text{App } (?pi' \cdot e_1) (?pi' \cdot e_2)) (?pi' \cdot e')$  **using**  $fs f2$

**by** (*auto intro!: a2 simp add: calc-atm*)

**then have**  $P c (?sw \cdot (\text{App } (\pi \cdot e_1) (\pi \cdot e_2))) (?sw \cdot (\pi \cdot e'))$

**by** (*simp del: append-Cons add: pt-name2*)

**moreover have**  $(?sw \cdot (\text{App } (\pi \cdot e_1) (\pi \cdot e_2))) = \text{App } (\pi \cdot e_1) (\pi \cdot e_2)$

**by** (*rule perm-fresh-fresh*) (*simp-all add: fs f1*)

**moreover have**  $(?sw \cdot (\pi \cdot e')) = \pi \cdot e'$

**by** (*rule perm-fresh-fresh*) (*simp-all add: fs f1*)

**ultimately show**  $P c (\pi \cdot (\text{App } e_1 e_2)) (\pi \cdot e')$

**by** *simp*

**next**

**case** (*b-Const*  $n \pi c$ )

**show**  $P c (\pi \cdot (\text{Const } n)) (\pi \cdot (\text{Const } n))$  **using** *a3* **by** *simp*

```

next
  case (b-Pr e1 e1' e2 e2' π c)
  then show P c (π.(Pr e1 e2)) (π.(Pr e1' e2')) using a4
    by (simp, blast intro: big-eqvt)
next
  case (b-Fst e e1 e2 π c)
  have p1: e ↓ Pr e1 e2 by fact
  then have (π.e)↓(π.(Pr e1 e2)) by (simp only: big-eqvt)
  moreover
  have ih1: ∧c. P c (π.e) (π.(Pr e1 e2)) by fact
  ultimately show P c (π.(Fst e)) (π.e1) using a5 by simp
next
  case (b-Snd e e1 e2 π c)
  have p1: e ↓ Pr e1 e2 by fact
  then have (π.e)↓(π.(Pr e1 e2)) by (simp only: big-eqvt)
  moreover
  have ih1: ∧c. P c (π.e) (π.(Pr e1 e2)) by fact
  ultimately show P c (π.(Snd e)) (π.e2) using a6 by simp
next
  case (b-InL e e' π c)
  then show P c (π.(InL e)) (π.(InL e')) using a7
    by (simp, blast intro: big-eqvt)
next
  case (b-InR e e' π c)
  then show P c (π.(InR e)) (π.(InR e')) using a8
    by (simp, blast intro: big-eqvt)
next
  case (b-CaseL x1 e e2 e'' x2 e1 e' π c)
  obtain y1::name where fs1: y1#(π.x1,π.e,π.e1,π.e2,π.e'',π.x2,c)
    by (rule exists-fresh[OF fs-name1])
  obtain y2::name where fs2: y2#(π.x2,π.e,π.e1,π.e2,π.e'',π.x1,c,y1)
    by (rule exists-fresh[OF fs-name1])
  let ?sw1 = [(π.x1,y1)]
  let ?sw2 = [(π.x2,y2)]
  let ?pi' = ?sw2@?sw1@π
  have f01: x1#(e,e2,e'',x2) by fact
  have f11: (π.x1)#(π.e,π.e2,π.e'',π.x2) using f01 by (simp add: fresh-bij)
  have f21: y1#(?pi'.e,?pi'.e2,?pi'.e'') using f01 fs1 fs2
    by (simp add: fresh-atm fresh-prod fresh-left calc-atm pt-name2 perm-pi-simp)
  have f02: x2#(e,e1,e'',x1) by fact
  have f12: (π.x2)#(π.e,π.e1,π.e'',π.x1) using f02 by (simp add: fresh-bij)
  have f22: y2#(?pi'.e,?pi'.e1,?pi'.e'') using f02 fs1 fs2
    by (auto simp add: fresh-atm fresh-prod fresh-left calc-atm pt-name2 perm-pi-simp)
  have p1: e ↓ InL e' by fact
  then have (?pi'.e) ↓ (?pi'.(InL e')) by (simp only: big-eqvt)
  moreover
  have p2: e1[x1::=e'] ↓ e'' by fact
  then have (?pi'.(e1[x1::=e'])) ↓ (?pi'.e'') by (simp only: big-eqvt)
  then have (?pi'.e1)[y1::=(?pi'.e')] ↓ (?pi'.e'') using fs1 fs2
    by (auto simp add: calc-atm subst-eqvt fresh-prod fresh-atm del: append-Cons)
  moreover
  have ih1: ∧c. P c (?pi'.e) (?pi'.(InL e')) by fact
  moreover

```

**have**  $ih2: \bigwedge c. P c (\text{?pi}' \cdot (e_1[x_1 ::= e])) (\text{?pi}' \cdot e'')$  **by fact**  
**then have**  $\bigwedge c. P c ((\text{?pi}' \cdot e_1)[y_1 ::= (\text{?pi}' \cdot e)]) (\text{?pi}' \cdot e'')$  **using**  $fs1 fs2$   
**by** (*auto simp add: calc-atm subst-eqvt fresh-prod fresh-atm del: append-Cons*)  
**ultimately have**  $P c (\text{Case } (\text{?pi}' \cdot e) \text{ of } inl y_1 \rightarrow (\text{?pi}' \cdot e_1) \mid inr y_2 \rightarrow (\text{?pi}' \cdot e_2)) (\text{?pi}' \cdot e'')$   
**using**  $f21 f22 fs1 fs2$  **by** (*auto intro!: a9 simp add: fresh-atm fresh-prod*)  
**then have**  $P c (\text{?sw2} \cdot \text{?sw1} \cdot (\text{Case } (\pi \cdot e) \text{ of } inl (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr (\pi \cdot x_2) \rightarrow (\pi \cdot e_2)))$   
 $(\text{?sw2} \cdot \text{?sw1} \cdot (\pi \cdot e''))$   
**using**  $fs1 fs2 f01 f02 f11 f12$   
**by** (*auto simp del: append-Cons simp add: pt-name2 fresh-atm fresh-prod calc-atm*)  
**moreover have**  $\text{?sw1} \cdot (\text{Case } (\pi \cdot e) \text{ of } inl (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
 $= (\text{Case } (\pi \cdot e) \text{ of } inl (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
**by** (*rule perm-fresh-fresh*) (*simp-all add: fs1 fs2 f11 f12 abs-fresh*)  
**moreover have**  $\text{?sw2} \cdot (\text{Case } (\pi \cdot e) \text{ of } inl (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
 $= (\text{Case } (\pi \cdot e) \text{ of } inl (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
**by** (*rule perm-fresh-fresh*) (*simp-all add: fs1 fs2 f11 f12 abs-fresh*)  
**moreover have**  $(\text{?sw1} \cdot (\pi \cdot e'')) = (\pi \cdot e'')$   
**by** (*rule perm-fresh-fresh*) (*simp-all add: fs1 fs2 f11 f12*)  
**moreover have**  $(\text{?sw2} \cdot (\pi \cdot e'')) = (\pi \cdot e'')$   
**by** (*rule perm-fresh-fresh*) (*simp-all add: fs1 fs2 f11 f12*)  
**ultimately show**  $P c (\pi \cdot (\text{Case } e \text{ of } inl x_1 \rightarrow e_1 \mid inr x_2 \rightarrow e_2)) (\pi \cdot e'')$   
**by** (*simp only: simp*)  
**next**  
**case** (*b-CaseR*  $x_1 e e_2 e'' x_2 e_1 e' \pi c$ )  
**obtain**  $y_1 :: \text{name}$  **where**  $fs1: y_1 \# (\pi \cdot x_1, \pi \cdot e, \pi \cdot e_1, \pi \cdot e_2, \pi \cdot e'', \pi \cdot x_2, c)$   
**by** (*rule exists-fresh[OF fs-name1]*)  
**obtain**  $y_2 :: \text{name}$  **where**  $fs2: y_2 \# (\pi \cdot x_2, \pi \cdot e, \pi \cdot e_1, \pi \cdot e_2, \pi \cdot e'', \pi \cdot x_1, c, y_1)$   
**by** (*rule exists-fresh[OF fs-name1]*)  
**let**  $\text{?sw1} = [(\pi \cdot x_1, y_1)]$   
**let**  $\text{?sw2} = [(\pi \cdot x_2, y_2)]$   
**let**  $\text{?pi}' = \text{?sw2} @ \text{?sw1} @ \pi$   
**have**  $f01: x_1 \# (e, e_2, e'', x_2)$  **by fact**  
**have**  $f11: (\pi \cdot x_1) \# (\pi \cdot e, \pi \cdot e_2, \pi \cdot e'', \pi \cdot x_2)$  **using**  $f01$  **by** (*simp add: fresh-bij*)  
**have**  $f21: y_1 \# (\text{?pi}' \cdot e, \text{?pi}' \cdot e_2, \text{?pi}' \cdot e'')$  **using**  $f01 fs1 fs2$   
**by** (*simp add: fresh-atm fresh-prod fresh-left calc-atm pt-name2 perm-pi-simp*)  
**have**  $f02: x_2 \# (e, e_1, e'', x_1)$  **by fact**  
**have**  $f12: (\pi \cdot x_2) \# (\pi \cdot e, \pi \cdot e_1, \pi \cdot e'', \pi \cdot x_1)$  **using**  $f02$  **by** (*simp add: fresh-bij*)  
**have**  $f22: y_2 \# (\text{?pi}' \cdot e, \text{?pi}' \cdot e_1, \text{?pi}' \cdot e'')$  **using**  $f02 fs1 fs2$   
**by** (*auto simp add: fresh-atm fresh-prod fresh-left calc-atm pt-name2 perm-pi-simp*)  
**have**  $p1: e \Downarrow InR e'$  **by fact**  
**then have**  $(\text{?pi}' \cdot e) \Downarrow (\text{?pi}' \cdot (InR e'))$  **by** (*simp only: big-eqvt*)  
**moreover**  
**have**  $p2: e_2[x_2 ::= e] \Downarrow e''$  **by fact**  
**then have**  $(\text{?pi}' \cdot (e_2[x_2 ::= e])) \Downarrow (\text{?pi}' \cdot e'')$  **by** (*simp only: big-eqvt*)  
**then have**  $(\text{?pi}' \cdot e_2)[y_2 ::= (\text{?pi}' \cdot e)] \Downarrow (\text{?pi}' \cdot e'')$  **using**  $fs1 fs2 f11 f12$   
**by** (*auto simp add: calc-atm subst-eqvt fresh-prod fresh-atm del: append-Cons*)  
**moreover**  
**have**  $ih1: \bigwedge c. P c (\text{?pi}' \cdot e) (\text{?pi}' \cdot (InR e'))$  **by fact**  
**moreover**  
**have**  $ih2: \bigwedge c. P c (\text{?pi}' \cdot (e_2[x_2 ::= e])) (\text{?pi}' \cdot e'')$  **by fact**  
**then have**  $\bigwedge c. P c ((\text{?pi}' \cdot e_2)[y_2 ::= (\text{?pi}' \cdot e)]) (\text{?pi}' \cdot e'')$  **using**  $fs1 fs2 f11 f12$   
**by** (*auto simp add: calc-atm subst-eqvt fresh-prod fresh-atm del: append-Cons*)  
**ultimately have**  $P c (\text{Case } (\text{?pi}' \cdot e) \text{ of } inl y_1 \rightarrow (\text{?pi}' \cdot e_1) \mid inr y_2 \rightarrow (\text{?pi}' \cdot e_2)) (\text{?pi}' \cdot e'')$   
**using**  $f21 f22 fs1 fs2$  **by** (*auto intro!: a10 simp add: fresh-atm fresh-prod*)

**then have**  $P\ c\ (?sw2 \cdot ?sw1 \cdot (Case\ (\pi \cdot e)\ of\ inl\ (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr\ (\pi \cdot x_2) \rightarrow (\pi \cdot e_2)))$   
 $(?sw2 \cdot ?sw1 \cdot (\pi \cdot e''))$   
**using**  $fs1\ fs2\ f01\ f02\ f11\ f12$   
**by**  $(auto\ simp\ del:\ append\ Cons\ simp\ add:\ pt\ name2\ fresh\ atm\ fresh\ prod\ calc\ atm)$   
**moreover have**  $?sw1 \cdot (Case\ (\pi \cdot e)\ of\ inl\ (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr\ (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
 $=\ (Case\ (\pi \cdot e)\ of\ inl\ (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr\ (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
**by**  $(rule\ perm\ fresh\ fresh)\ (simp\ all\ add:\ fs1\ fs2\ f11\ f12\ abs\ fresh)$   
**moreover have**  $?sw2 \cdot (Case\ (\pi \cdot e)\ of\ inl\ (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr\ (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
 $=\ (Case\ (\pi \cdot e)\ of\ inl\ (\pi \cdot x_1) \rightarrow (\pi \cdot e_1) \mid inr\ (\pi \cdot x_2) \rightarrow (\pi \cdot e_2))$   
**by**  $(rule\ perm\ fresh\ fresh)\ (simp\ all\ add:\ fs1\ fs2\ f11\ f12\ abs\ fresh)$   
**moreover have**  $(?sw1 \cdot (\pi \cdot e'')) = (\pi \cdot e'')$   
**by**  $(rule\ perm\ fresh\ fresh)\ (simp\ all\ add:\ fs1\ fs2\ f11\ f12)$   
**moreover have**  $(?sw2 \cdot (\pi \cdot e'')) = (\pi \cdot e'')$   
**by**  $(rule\ perm\ fresh\ fresh)\ (simp\ all\ add:\ fs1\ fs2\ f11\ f12)$   
**ultimately show**  $P\ c\ (\pi \cdot (Case\ e\ of\ inl\ x_1 \rightarrow e_1 \mid inr\ x_2 \rightarrow e_2))\ (\pi \cdot e'')$   
**by**  $(simp\ only:\ simp)$   
**qed**  
**then have**  $P\ c\ (([]::name\ prm) \cdot t)\ (([]::name\ prm) \cdot t')$  **by**  $blast$   
**then show**  $P\ c\ t\ t'$  **by**  $simp$   
**qed**

### 4.3 Strong inversion lemmas for big step relation

**lemma**  $b\text{-App-elim}[elim]:$

**assumes**  $App\ e_1\ e_2 \Downarrow e'$  **and**  $x \# (e_1, e_2, e')$   
**obtains**  $f_1$  **and**  $f_2$  **where**  $e_1 \Downarrow Lam\ [x].\ f_1\ e_2 \Downarrow f_2\ f_1[x::=f_2] \Downarrow e'$   
**using**  $assms$   
**apply**  $-$   
**apply**  $(erule\ b\text{-App-inv-auto})$   
**apply**  $(drule\ tac\ pi=[(x, x)]\ in\ big\ eqvt)$   
**apply**  $(drule\ tac\ pi=[(x, x)]\ in\ big\ eqvt)$   
**apply**  $(drule\ tac\ pi=[(x, x)]\ in\ big\ eqvt)$   
**apply**  $(perm\ simp\ add:\ calc\ atm\ eqvt)$   
**done**

**lemma**  $b\text{-CaseL-elim}[elim]:$

**assumes**  $Case\ e\ of\ inl\ x_1 \rightarrow e_1 \mid inr\ x_2 \rightarrow e_2 \Downarrow e''$  **and**  $(\bigwedge t.\ \neg\ e \Downarrow InR\ t)$   
**obtains**  $e'$  **where**  $e \Downarrow InL\ e'$  **and**  $e_1[x_1::=e'] \Downarrow e''$   
**using**  $assms$   
**apply**  $-$   
**apply**  $(rule\ b\text{-Case-inv-auto},\ auto)$   
**apply**  $(drule\ tac\ u=e'\ in\ subst\ fun\ eq)$   
**apply**  $(simp)$   
**done**

**lemma**  $b\text{-CaseR-elim}[elim]:$

**assumes**  $Case\ e\ of\ inl\ x_1 \rightarrow e_1 \mid inr\ x_2 \rightarrow e_2 \Downarrow e''$  **and**  $\bigwedge t.\ \neg\ e \Downarrow InL\ t$   
**obtains**  $e'$  **where**  $e \Downarrow InR\ e'$  **and**  $e_2[x_2::=e'] \Downarrow e''$   
**using**  $assms$   
**apply**  $-$   
**apply**  $(rule\ b\text{-Case-inv-auto},\ auto)$   
**apply**  $(drule\ tac\ u=e'\ in\ subst\ fun\ eq)+$   
**apply**  $(simp)$

done

## 5 Values.

### 5.1 Definition of values.

**inductive2**

*val* :: *trm* ⇒ *bool*

**where**

*v-Lam*[*intro*]: *val* (*Lam* [*x*].*e*)

| *v-Const*[*intro*]: *val* (*Const* *n*)

| *v-Pr*[*intro*]:  $\llbracket \text{val } e_1; \text{val } e_2 \rrbracket \implies \text{val } (\text{Pr } e_1 e_2)$

| *v-InL*[*intro*]: *val* *e* ⇒ *val* (*InL* *e*)

| *v-InR*[*intro*]: *val* *e* ⇒ *val* (*InR* *e*)

### 5.2 Inversion lemmas for values

**declare** *trm.inject* [*simp add*]

**declare** *ty.inject* [*simp add*]

**declare** *data.inject* [*simp add*]

**inductive-cases2** *v-Const-inv-auto*[*elim*]: *val* (*Const* *n*)

**inductive-cases2** *v-Pr-inv-auto*[*elim*]: *val* (*Pr* *e*<sub>1</sub> *e*<sub>2</sub>)

**inductive-cases2** *v-InL-inv-auto*[*elim*]: *val* (*InL* *e*)

**inductive-cases2** *v-InR-inv-auto*[*elim*]: *val* (*InR* *e*)

**inductive-cases2** *v-Fst-inv-auto*[*elim*]: *val* (*Fst* *e*)

**inductive-cases2** *v-Snd-inv-auto*[*elim*]: *val* (*Snd* *e*)

**inductive-cases2** *v-Case-inv-auto*[*elim*]: *val* (*Case* *e* of *inl* *x*<sub>1</sub> → *e*<sub>1</sub> | *inr* *x*<sub>2</sub> → *e*<sub>2</sub>)

**inductive-cases2** *v-Var-inv-auto*[*elim*]: *val* (*Var* *x*)

**inductive-cases2** *v-Lam-inv-auto*[*elim*]: *val* (*Lam* [*x*].*e*)

**inductive-cases2** *v-App-inv-auto*[*elim*]: *val* (*App* *e*<sub>1</sub> *e*<sub>2</sub>)

**declare** *trm.inject* [*simp del*]

**declare** *ty.inject* [*simp del*]

**declare** *data.inject* [*simp del*]

## 6 Weakening lemma

**lemma** *weakening*:

**assumes**  $\Gamma_1 \vdash e : T$  **and** *valid*  $\Gamma_2$  **and**  $\Gamma_1 \ll \Gamma_2$

**shows**  $\Gamma_2 \vdash e : T$

**using** *assms*

**proof**(*nominal-induct*  $\Gamma_1 e T$  *avoiding*:  $\Gamma_2$  *rule*: *typing-induct-strong*)

**case** (*t-Lam* *x*  $\Gamma_1 T_1 t T_2 \Gamma_2$ )

**have** *ih*:  $\llbracket \text{valid } ((x, T_1) \# \Gamma_2); (x, T_1) \# \Gamma_1 \ll (x, T_1) \# \Gamma_2 \rrbracket \implies (x, T_1) \# \Gamma_2 \vdash t : T_2$  **by fact**

**have** *H1*: *valid*  $\Gamma_2$  **by fact**

**have** *H2*:  $\Gamma_1 \ll \Gamma_2$  **by fact**

**have** *fs*:  $x \# \Gamma_2$  **by fact**

**then have** *valid*  $((x, T_1) \# \Gamma_2)$  **using** *H1* **by auto**

**moreover have**  $(x, T_1) \# \Gamma_1 \ll (x, T_1) \# \Gamma_2$  **using** *H2* **by auto**

**ultimately have**  $(x, T_1) \# \Gamma_2 \vdash t : T_2$  **using** *ih* **by simp**

**thus**  $\Gamma_2 \vdash \text{Lam } [x].t : T_1 \rightarrow T_2$  **using** *fs* **by auto**

next

**case** (*t-Case*  $x_1 \Gamma_1 e e_2 x_2 e_1 S_1 S_2 T \Gamma_2$ )  
**then have**  $ih_1: \text{valid } ((x_1, \text{Data } S_1) \# \Gamma_2) \implies (x_1, \text{Data } S_1) \# \Gamma_2 \vdash e_1 : T$   
**and**  $ih_2: \text{valid } ((x_2, \text{Data } S_2) \# \Gamma_2) \implies (x_2, \text{Data } S_2) \# \Gamma_2 \vdash e_2 : T$   
**and**  $ih_3: \Gamma_2 \vdash e : \text{Data } (D\text{Sum } S_1 S_2)$  **by** *auto*  
**have**  $fs_1: x_1 \# \Gamma_2 \ x_1 \# e \ x_1 \# e_2 \ x_1 \# x_2$  **by** *fact*  
**have**  $fs_2: x_2 \# \Gamma_2 \ x_2 \# e \ x_2 \# e_1 \ x_2 \# x_1$  **by** *fact*  
**have**  $\text{valid } \Gamma_2$  **by** *fact*  
**then have**  $\text{valid } ((x_1, \text{Data } S_1) \# \Gamma_2)$  **and**  $\text{valid } ((x_2, \text{Data } S_2) \# \Gamma_2)$  **using**  $fs_1 \ fs_2$  **by** *auto*  
**then have**  $(x_1, \text{Data } S_1) \# \Gamma_2 \vdash e_1 : T$  **and**  $(x_2, \text{Data } S_2) \# \Gamma_2 \vdash e_2 : T$  **using**  $ih_1 \ ih_2$  **by** *simp-all*  
**with**  $ih_3$  **show**  $\Gamma_2 \vdash \text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 : T$  **using**  $fs_1 \ fs_2$  **by** *auto*  
**qed** (*auto*)

A corollary of the weakening lemma.

**lemma** *context-exchange*:

**assumes**  $a: (x_1, T_1) \# (x_2, T_2) \# \Gamma \vdash t : T$   
**shows**  $(x_2, T_2) \# (x_1, T_1) \# \Gamma \vdash t : T$

**proof** –

**from**  $a$  **have**  $\text{valid } ((x_1, T_1) \# (x_2, T_2) \# \Gamma)$  **by** (*simp add: typing-valid*)  
**then have**  $x_1 \neq x_2 \ x_1 \# \Gamma \ x_2 \# \Gamma \ \text{valid } \Gamma$   
**by** (*auto simp: fresh-list-cons fresh-atm[symmetric]*)  
**then have**  $\text{valid } ((x_2, T_2) \# (x_1, T_1) \# \Gamma)$   
**by** (*auto simp: fresh-list-cons fresh-atm*)  
**moreover**  
**have**  $(x_1, T_1) \# (x_2, T_2) \# \Gamma \ll (x_2, T_2) \# (x_1, T_1) \# \Gamma$  **by** *auto*  
**ultimately show**  $(x_2, T_2) \# (x_1, T_1) \# \Gamma \vdash t : T$  **using**  $a$  **by** (*auto intro: weakening*)

**qed**

**lemma** *typing-var-unicity*:

**assumes**  $(x, t_1) \# \Gamma \vdash \text{Var } x : t_2$   
**shows**  $t_1 = t_2$

**proof** –

**have**  $(x, t_2) \in \text{set } ((x, t_1) \# \Gamma)$  **and**  $\text{valid } ((x, t_1) \# \Gamma)$  **using** *assms* **by** *auto*  
**thus**  $t_1 = t_2$  **by** (*simp only: type-unicity-in-context*)

**qed**

## 7 Substitution lemma

**lemma** *typing-substitution*:

**fixes**  $\Gamma :: (\text{name} \times \text{ty}) \text{ list}$   
**assumes**  $(x, T') \# \Gamma \vdash e : T$   
**and**  $\Gamma \vdash e' : T'$   
**shows**  $\Gamma \vdash e[x ::= e'] : T$   
**using** *assms*

**proof** (*nominal-induct e avoiding:  $\Gamma \ e' \ x$  arbitrary:  $T$  rule: *trm.induct**)

**case** (*Var*  $y \ \Gamma \ e' \ x \ T$ )  
**have**  $h1: (x, T') \# \Gamma \vdash \text{Var } y : T$  **by** *fact*  
**have**  $h2: \Gamma \vdash e' : T'$  **by** *fact*  
**show**  $\Gamma \vdash (\text{Var } y)[x ::= e'] : T$   
**proof** (*cases*  $x=y$ )  
**case** *True*  
**assume**  $as: x=y$

then have  $T = T'$  using *h1 typing-var-unicity* by *auto*  
 then show  $\Gamma \vdash (\text{Var } y)[x ::= e'] : T$  using *as h2* by *simp*  
 next  
 case *False*  
 assume *as*:  $x \neq y$   
 have  $(y, T) \in \text{set } ((x, T') \# \Gamma)$  using *h1* by *auto*  
 then have  $(y, T) \in \text{set } \Gamma$  using *as* by *auto*  
 moreover  
 have *valid*  $\Gamma$  using *h2* by (*simp only: typing-valid*)  
 ultimately show  $\Gamma \vdash (\text{Var } y)[x ::= e'] : T$  using *as* by (*simp add: t-Var*)  
 qed  
 next  
 case (*Lam*  $y$   $t$   $\Gamma$   $e'$   $x$   $T$ )  
 have *vc*:  $y \# \Gamma$   $y \# x$   $y \# e'$  by *fact*  
 have *pr1*:  $\Gamma \vdash e' : T'$  by *fact*  
 have *pr2*:  $(x, T') \# \Gamma \vdash \text{Lam } [y].t : T$  by *fact*  
 then obtain  $T_1$   $T_2$  where *pr2'*:  $(y, T_1) \# (x, T') \# \Gamma \vdash t : T_2$  and *eq*:  $T = T_1 \rightarrow T_2$   
 using *vc* by (*auto simp add: fresh-list-cons*)  
 then have *pr2''*:  $(x, T') \# (y, T_1) \# \Gamma \vdash t : T_2$  by (*simp add: context-exchange*)  
 have *ih*:  $\llbracket (x, T') \# (y, T_1) \# \Gamma \vdash t : T_2; (y, T_1) \# \Gamma \vdash e' : T' \rrbracket \implies (y, T_1) \# \Gamma \vdash t[x ::= e'] : T_2$  by *fact*  
 have *valid*  $\Gamma$  using *pr1* by (*simp add: typing-valid*)  
 then have *valid*  $((y, T_1) \# \Gamma)$  using *vc* by *auto*  
 then have  $(y, T_1) \# \Gamma \vdash e' : T'$  using *pr1* by (*auto intro: weakening*)  
 then have  $(y, T_1) \# \Gamma \vdash t[x ::= e'] : T_2$  using *ih pr2''* by *simp*  
 then have  $\Gamma \vdash \text{Lam } [y].(t[x ::= e']) : T_1 \rightarrow T_2$  using *vc* by (*auto intro: t-Lam*)  
 thus  $\Gamma \vdash (\text{Lam } [y].t)[x ::= e'] : T$  using *vc eq* by *simp*  
 next  
 case (*Case*  $t_1$   $x_1$   $t_2$   $x_2$   $t_3$   $\Gamma$   $e'$   $x$   $T$ )  
 have *vc*:  $x_1 \# \Gamma$   $x_1 \# e'$   $x_1 \# x$   $x_1 \# t_1$   $x_1 \# t_3$   $x_2 \# \Gamma$   
 $x_2 \# e'$   $x_2 \# x$   $x_2 \# t_1$   $x_2 \# t_2$   $x_2 \neq x_1$  by *fact*  
 have *as1*:  $\Gamma \vdash e' : T'$  by *fact*  
 have *as2*:  $(x, T') \# \Gamma \vdash \text{Case } t_1 \text{ of } \text{inl } x_1 \rightarrow t_2 \mid \text{inr } x_2 \rightarrow t_3 : T$  by *fact*  
 then obtain  $S_1$   $S_2$  where  
 $h1$ :  $(x, T') \# \Gamma \vdash t_1 : \text{Data } (D\text{Sum } S_1 S_2)$  and  
 $h2$ :  $(x_1, \text{Data } S_1) \# (x, T') \# \Gamma \vdash t_2 : T$  and  
 $h3$ :  $(x_2, \text{Data } S_2) \# (x, T') \# \Gamma \vdash t_3 : T$   
 using *vc* by (*auto simp add: fresh-list-cons*)  
 have *ih1*:  $\llbracket (x, T') \# \Gamma \vdash t_1 : T; \Gamma \vdash e' : T' \rrbracket \implies \Gamma \vdash t_1[x ::= e'] : T$   
 and *ih2*:  $\llbracket (x, T') \# (x_1, \text{Data } S_1) \# \Gamma \vdash t_2 : T; (x_1, \text{Data } S_1) \# \Gamma \vdash e' : T' \rrbracket \implies (x_1, \text{Data } S_1) \# \Gamma \vdash t_2[x ::= e'] : T$   
 and *ih3*:  $\llbracket (x, T') \# (x_2, \text{Data } S_2) \# \Gamma \vdash t_3 : T; (x_2, \text{Data } S_2) \# \Gamma \vdash e' : T' \rrbracket \implies (x_2, \text{Data } S_2) \# \Gamma \vdash t_3[x ::= e'] : T$   
 by *fact*  
 from *h2* have *h2'*:  $(x, T') \# (x_1, \text{Data } S_1) \# \Gamma \vdash t_2 : T$  by (*rule context-exchange*)  
 from *h3* have *h3'*:  $(x, T') \# (x_2, \text{Data } S_2) \# \Gamma \vdash t_3 : T$  by (*rule context-exchange*)  
 have  $\Gamma \vdash t_1[x ::= e'] : \text{Data } (D\text{Sum } S_1 S_2)$  using *h1 ih1 as1* by *simp*  
 moreover  
 have *valid*  $((x_1, \text{Data } S_1) \# \Gamma)$  using *h2'* by (*auto dest: typing-valid*)  
 then have  $(x_1, \text{Data } S_1) \# \Gamma \vdash e' : T'$  using *as1* by (*auto simp add: weakening*)  
 then have  $(x_1, \text{Data } S_1) \# \Gamma \vdash t_2[x ::= e'] : T$  using *ih2 h2'* by *simp*  
 moreover  
 have *valid*  $((x_2, \text{Data } S_2) \# \Gamma)$  using *h3'* by (*auto dest: typing-valid*)  
 then have  $(x_2, \text{Data } S_2) \# \Gamma \vdash e' : T'$  using *as1* by (*auto simp add: weakening*)



**then have**  $(x_2, \text{Data } S_2) \# \Gamma \vdash t_3[x ::= e^\uparrow] : T$  **using** *ih3 h3'* **by** *simp*  
**ultimately have**  $\Gamma \vdash \text{Case } (t_1[x ::= e^\uparrow]) \text{ of } \text{inl } x_1 \rightarrow (t_2[x ::= e^\uparrow]) \mid \text{inr } x_2 \rightarrow (t_3[x ::= e^\uparrow]) : T$   
**using** *vc* **by** *(auto simp add: fresh-atm fresh-subst)*  
**thus**  $\Gamma \vdash (\text{Case } t_1 \text{ of } \text{inl } x_1 \rightarrow t_2 \mid \text{inr } x_2 \rightarrow t_3)[x ::= e^\uparrow] : T$  **using** *vc* **by** *simp*  
**qed** *(simp, fast)+*

## 8 Subject reduction

**lemma** *subject-reduction*:

**assumes**  $e \Downarrow e'$  **and**  $\Gamma \vdash e : T$

**shows**  $\Gamma \vdash e' : T$

**using** *assms*

**proof** *(nominal-induct avoiding:  $\Gamma$  arbitrary:  $T$  rule: big-induct-strong)*

**case** *(b-App  $x e_1 e_2 e_2' e' e \Gamma T$ )*

**have**  $vc: x \# \Gamma$  **by** *fact*

**have**  $\Gamma \vdash \text{App } e_1 e_2 : T$  **by** *fact*

**then obtain**  $T'$  **where**

*a1*:  $\Gamma \vdash e_1 : T' \rightarrow T$  **and**

*a2*:  $\Gamma \vdash e_2 : T'$  **by** *auto*

**have** *ih1*:  $\Gamma \vdash e_1 : T' \rightarrow T \implies \Gamma \vdash \text{Lam } [x].e : T' \rightarrow T$  **by** *fact*

**have** *ih2*:  $\Gamma \vdash e_2 : T' \implies \Gamma \vdash e_2' : T'$  **by** *fact*

**have** *ih3*:  $\Gamma \vdash e[x ::= e_2^\uparrow] : T \implies \Gamma \vdash e' : T$  **by** *fact*

**have**  $\Gamma \vdash \text{Lam } [x].e : T' \rightarrow T$  **using** *ih1 a1* **by** *simp*

**then have**  $((x, T') \# \Gamma) \vdash e : T$  **using** *vc* **by** *(auto simp add: ty.inject)*

**moreover**

**have**  $\Gamma \vdash e_2' : T'$  **using** *ih2 a2* **by** *simp*

**ultimately have**  $\Gamma \vdash e[x ::= e_2^\uparrow] : T$  **by** *(simp add: typing-substitution)*

**thus**  $\Gamma \vdash e' : T$  **using** *ih3* **by** *simp*

**next**

**case** *(b-CaseL  $x_1 e e_2 e'' x_2 e_1 e' \Gamma$ )*

**have**  $vc: x_1 \# \Gamma \ x_2 \# \Gamma$  **by** *fact*

**have**  $\Gamma \vdash \text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2 : T$  **by** *fact*

**then obtain**  $S_1 S_2 e_1' e_2'$  **where**

*a1*:  $\Gamma \vdash e : \text{Data } (D\text{Sum } S_1 S_2)$  **and**

*a2*:  $((x_1, \text{Data } S_1) \# \Gamma) \vdash e_1 : T$  **using** *vc* **by** *auto*

**have** *ih1*:  $\Gamma \vdash e : \text{Data } (D\text{Sum } S_1 S_2) \implies \Gamma \vdash \text{InL } e' : \text{Data } (D\text{Sum } S_1 S_2)$  **by** *fact*

**have** *ih2*:  $\Gamma \vdash e_1[x_1 ::= e^\uparrow] : T \implies \Gamma \vdash e'' : T$  **by** *fact*

**have**  $\Gamma \vdash \text{InL } e' : \text{Data } (D\text{Sum } S_1 S_2)$  **using** *ih1 a1* **by** *simp*

**then have**  $\Gamma \vdash e' : \text{Data } S_1$  **by** *auto*

**then have**  $\Gamma \vdash e_1[x_1 ::= e^\uparrow] : T$  **using** *a2* **by** *(simp add: typing-substitution)*

**then show**  $\Gamma \vdash e'' : T$  **using** *ih2* **by** *simp*

**next**

**case** *(b-CaseR  $x_1 e e_2 e'' x_2 e_1 e' \Gamma T$ )*

**then show**  $\Gamma \vdash e'' : T$  **by** *(blast intro: typing-substitution)*

**qed** *(blast)+*

## 9 Examples

In this section we follow the challenge and try the definition of our semantic on some concrete examples. There is no way to effectively execute inductive relation in Isabelle so we use the automatic tactics.

## 9.1 A solution to Challenge 5

lemma *challenge-5*:

assumes  $x \neq y$

shows  $\text{App} (\text{App} (\text{Lam} [x].(\text{Lam} [y].\text{Var} y)) (\text{Const} n_1)) (\text{Const} n_2) \Downarrow (\text{Const} n_2)$

using *assms*

by (*auto intro!*: *big.intros simp add: forget abs-fresh fresh-atm fresh-nat*)

## 9.2 A solution to Challenge 6

lemma *challenge-6*:

shows  $\text{Fst} (\text{App} (\text{Lam} [x].\text{Pr} (\text{Var} x) (\text{Var} x)) (\text{Const} n)) \Downarrow \text{Const} n$

by (*auto intro!*: *big.intros*) (*simp add: fresh-nat abs-fresh*)

# 10 Unicity of evaluation

lemma *challenge-4-unicity*:

assumes  $e \Downarrow e_1$  and  $e \Downarrow e_2$

shows  $e_1 = e_2$

using *assms*

proof (*induct arbitrary: e<sub>2</sub>*)

case (*b-Lam x e t<sub>2</sub>*)

have  $\text{Lam} [x].e \Downarrow t_2$  by *fact*

thus  $\text{Lam} [x].e = t_2$  by (*cases, simp-all add: trm.inject*)

next

case (*b-Fst e e<sub>1</sub> e<sub>2</sub> t<sub>2</sub>*)

have  $\text{Fst} e \Downarrow t_2$  by *fact*

then obtain  $e_1' e_2'$  where  $e \Downarrow \text{Pr} e_1' e_2'$  and  $eq: t_2 = e_1'$  by *auto*

then have  $\text{Pr} e_1 e_2 = \text{Pr} e_1' e_2'$  by *auto*

thus  $e_1 = t_2$  using *eq* by (*simp add: trm.inject*)

next

case (*b-Snd e e<sub>1</sub> e<sub>2</sub> t<sub>2</sub>*)

thus *?case* by (*force simp add: trm.inject*)

next

case (*b-App x e<sub>1</sub> e<sub>2</sub> e' e<sub>1</sub>' e<sub>2</sub>' t<sub>2</sub>*)

have  $e_1 \Downarrow \text{Lam} [x].e_1'$  by *fact*

have *ih1*:  $\bigwedge t. e_1 \Downarrow t \implies \text{Lam} [x].e_1' = t$  by *fact*

have  $e_2 \Downarrow e_2'$  by *fact*

have *ih2*:  $\bigwedge t. e_2 \Downarrow t \implies e_2' = t$  by *fact*

have  $e_1'[x ::= e_2'] \Downarrow e'$  by *fact*

have *ih3*:  $\bigwedge t. e_1'[x ::= e_2'] \Downarrow t \implies e' = t$  by *fact*

have  $f: x \# (e_1, e_2, e')$  by *fact*

then have  $x \# \text{App} e_1 e_2$  by *auto*

moreover

have *app*:  $\text{App} e_1 e_2 \Downarrow t_2$  by *fact*

ultimately have  $x \# t_2$  using *fresh-preserved* by *blast*

then have  $x \# (e_1, e_2, t_2)$  using *f* by *auto*

then obtain  $f_1'' f_2''$  where  $x_1: e_1 \Downarrow \text{Lam} [x]. f_1''$  and  $x_2: e_2 \Downarrow f_2''$  and  $x_3: f_1''[x ::= f_2''] \Downarrow t_2$

using *app* by *auto*

then have  $\text{Lam} [x]. f_1'' = \text{Lam} [x]. e_1'$  using *ih1* by *simp*

then have  $f_1'' = e_1'$  by (*auto simp add: trm.inject alpha*)

moreover have  $f_2'' = e_2'$  using *x2 ih2* by *simp*

ultimately have  $e_1[x ::= e_2] \Downarrow t_2$  using  $x3$  by *simp*  
 thus *?case* using *ih3* by *simp*  
**next**  
 case (*b-CaseL*  $x_1 e e_2 e'' x_2 e_1 e' t_2$ )  
 have *ih1*:  $\bigwedge t. e \Downarrow t \implies \text{InL } e' = t$  by *fact*  
 have *ih2*:  $\bigwedge t. e_1[x_1 ::= e] \Downarrow t \implies e'' = t$  by *fact*  
 have *ha*:  $\bigwedge t. (e \Downarrow \text{InR } t) \implies \text{False}$  using *ih1* by *force*  
 have *Case*  $e$  of *inl*  $x_1 \rightarrow e_1$  | *inr*  $x_2 \rightarrow e_2 \Downarrow t_2$  by *fact*  
 then obtain  $xe'$  where  $e \Downarrow \text{InL } xe'$  and  $h: e_1[x_1 ::= xe'] \Downarrow t_2$  using *ha* by *auto*  
 then have  $\text{InL } xe' = \text{InL } e'$  using *ih1* by *simp*  
 then have  $xe' = e'$  by (*simp add: trm.inject*)  
 then have  $e_1[x_1 ::= e] \Downarrow t_2$  using *h* by *simp*  
 then show  $e'' = t_2$  using *ih2* by *simp*  
**next**  
 case (*b-CaseR*  $x_1 e e_2 e'' x_2 e_1 e' t_2$ )  
 have *ih1*:  $\bigwedge t. e \Downarrow t \implies \text{InR } e' = t$  by *fact*  
 have *ih2*:  $\bigwedge t. e_2[x_2 ::= e] \Downarrow t \implies e'' = t$  by *fact*  
 have *a*:  $\bigwedge t. (e \Downarrow \text{InL } t) \implies \text{False}$  using *ih1* by *force*  
 have *Case*  $e$  of *inl*  $x_1 \rightarrow e_1$  | *inr*  $x_2 \rightarrow e_2 \Downarrow t_2$  by *fact*  
 then obtain  $xe'$  where  $e \Downarrow \text{InR } xe'$  and  $h: e_2[x_2 ::= xe'] \Downarrow t_2$  using *a* by *auto*  
 then have  $\text{InR } xe' = \text{InR } e'$  using *ih1* by *simp*  
 then have  $e_2[x_2 ::= e] \Downarrow t_2$  using *h* by (*simp add: trm.inject*)  
 thus  $e'' = t_2$  using *ih2* by *simp*  
 qed (*fast*)+

**lemma** *not-val-App*[*simp*]:  
 shows  
 $\neg \text{val } (\text{App } e_1 e_2)$   
 $\neg \text{val } (\text{Fst } e)$   
 $\neg \text{val } (\text{Snd } e)$   
 $\neg \text{val } (\text{Var } x)$   
 $\neg \text{val } (\text{Case } e \text{ of } \text{inl } x_1 \rightarrow e_1 \mid \text{inr } x_2 \rightarrow e_2)$   
 by *auto*

**lemma** *reduces-to-value*:  
 assumes  $h: t \Downarrow t'$   
 shows  $\text{val } t'$   
 using *h* by (*induct, auto*)

**lemma** *type-prod-down-pair*:  
 assumes  $\Gamma \vdash t : \text{Data } (\text{DProd } S_1 S_2)$  and  $t \Downarrow t'$   
 obtains  $t_1 t_2$  where  $t' = \text{Pr } t_1 t_2$   
**proof** –  
 have  $\Gamma \vdash t' : \text{Data } (\text{DProd } S_1 S_2)$  using *assms subject-reduction* by *simp*  
 moreover  
 have  $\text{val } t'$  using *reduces-to-value assms* by *simp*  
 ultimately obtain  $t_1 t_2$  where  $t' = \text{Pr } t_1 t_2$  by (*cases, auto simp add: ty.inject data.inject*)  
 thus *?thesis* using *prems* by *auto*  
 qed

**lemma** *type-sum-down-or*:  
 assumes  $\Gamma \vdash t : \text{Data } (\text{DSum } \sigma_1 \sigma_2)$  and  $t \Downarrow t'$   
 shows  $(\exists t''. t' = \text{InL } t'') \vee (\exists t''. t' = \text{InR } t'')$

**proof** –  
 have  $\Gamma \vdash t' : \text{Data } (D\text{Sum } \sigma_1 \sigma_2)$  **using** *assms subject-reduction* **by** *simp*  
**moreover**  
 have *val t'* **using** *reduces-to-value assms* **by** *simp*  
 ultimately **obtain**  $t''$  **where**  $t' = \text{InL } t'' \vee t' = \text{InR } t''$   
**by** (*cases, auto simp add:ty.inject data.inject*)  
**thus** *?thesis* **by** *auto*  
**qed**

**lemma** *type-arrow-down-lam*:  
 assumes  $\Gamma \vdash t : \sigma \rightarrow \tau$  **and**  $t \Downarrow t'$   
 obtains  $x t''$  **where**  $t' = \text{Lam } [x]. t''$

**proof** –  
 have  $\Gamma \vdash t' : \sigma \rightarrow \tau$  **using** *assms subject-reduction* **by** *simp*  
**moreover**  
 have *val t'* **using** *reduces-to-value assms* **by** *simp*  
 ultimately **obtain**  $x t''$  **where**  $t' = \text{Lam } [x]. t''$  **by** (*cases, auto simp add:ty.inject data.inject*)  
**thus** *?thesis* **using** *prems* **by** *auto*  
**qed**

**lemma** *type-nat-down-const*:  
 assumes  $\Gamma \vdash t : \text{Data } D\text{Nat}$  **and**  $t \Downarrow t'$   
 obtains  $n$  **where**  $t' = \text{Const } n$

**proof** –  
 have  $\Gamma \vdash t' : \text{Data } D\text{Nat}$  **using** *assms subject-reduction* **by** *simp*  
**moreover** have *val t'* **using** *reduces-to-value assms* **by** *simp*  
 ultimately **obtain**  $n$  **where**  $t' = \text{Const } n$  **by** (*cases, auto simp add:ty.inject data.inject*)  
**thus** *?thesis* **using** *prems* **by** *auto*  
**qed**

## 11 Termination of evaluation

### 11.1 Definition of the logical relations

**function**

$V' :: \text{data} \Rightarrow \text{trm set}$

**where**

$V' (D\text{Nat}) = \{\text{Const } n \mid n. n \in (\text{UNIV}::\text{nat set})\}$

$\mid V' (D\text{Prod } S_1 S_2) = \{\text{Pr } x y \mid x y. x \in V' S_1 \wedge y \in V' S_2\}$

$\mid V' (D\text{Sum } S_1 S_2) = \{\text{InL } x \mid x. x \in V' S_1\} \cup \{\text{InR } y \mid y. y \in V' S_2\}$

**apply** (*auto simp add: data.inject ty.inject*)

**apply** (*subgoal-tac x=DNat  $\vee$  ( $\exists S_1 S_2. x=D\text{Prod } S_1 S_2$ )  $\vee$  ( $\exists S_1 S_2. x=D\text{Sum } S_1 S_2$ )*)

**apply** (*force*)

**apply** (*rule data-cases*)

**done**

**termination**

**apply**(*relation measure size*)

**apply**(*auto*)

**done**

**lemma** *Vprimes-are-values* :

```

fixes  $S::data$ 
assumes  $h: e \in V' S$ 
shows  $val e$ 
using  $h$ 
by (nominal-induct  $S$  arbitrary: e rule:data.induct)
  (auto)

```

```

function
   $V :: ty \Rightarrow trm\ set$ 
where
   $V (Data\ S) = V' S$ 
   $| V (T_1 \rightarrow T_2) = \{Lam\ [x].e \mid x\ e.\ \forall v \in (V\ T_1). \exists v'. e[x::=v] \Downarrow v' \wedge v' \in V\ T_2\}$ 
apply (auto simp add: data.inject ty.inject)
apply (subgoal-tac  $(\exists T_1\ T_2. x=T_1 \rightarrow T_2) \vee (\exists T. x=Data\ T)$ )
apply (force)
apply (rule ty-cases)
done

```

```

termination
apply(relation measure size)
apply auto
done

```

## 11.2 Inversion lemmas for logical relations

```

lemma V-arrow-elim-weak[elim] :
  assumes  $h:u \in (V (T_1 \rightarrow T_2))$ 
  obtains  $a\ t$  where  $u = Lam[a].t$  and  $\forall v \in (V\ T_1). \exists v'. t[a::=v] \Downarrow v' \wedge v' \in V\ T_2$ 
using  $h$  by (auto simp add: V.cases)

```

```

lemma V-arrow-elim-strong[elim]:
  fixes  $c::'a::fs\ name$ 
  assumes  $h: u \in (V (T_1 \rightarrow T_2))$ 
  obtains  $a\ t$  where  $a\#c\ u = Lam[a].t$   $\forall v \in (V\ T_1). \exists v'. t[a::=v] \Downarrow v' \wedge v' \in V\ T_2$ 
using  $h$ 
apply –
apply(erule V-arrow-elim-weak)
apply(subgoal-tac  $\exists a'::name. a'\#(a,t,c)$ )
apply(erule exE)
apply(drule-tac  $x=a'$  in meta-spec)
apply(simp)
apply(drule-tac  $x=[(a,a')].t$  in meta-spec)
apply(simp add: trm.inject alpha fresh-prod fresh-atm)
apply(perm-simp)
apply(simp add: fresh-left calc-atm)
apply(auto)
apply(simp add: subst-rename)
apply(subgoal-tac  $[(a',a)].t = [(a,a')].t$ )
apply(simp)
apply(rule pt-name3)
apply(rule at-ds5[OF at-name-inst])
apply(rule exists-fresh')
apply(simp add: fs-name1)

```

done

**lemma** *V-are-values* :

fixes  $T::ty$

assumes  $h:e \in V T$

shows  $val e$

using  $h$  by (*nominal-induct T arbitrary: e rule:ty.induct, auto simp add: Vprimes-are-values*)

**lemma** *values-reduce-to-themselves*:

assumes  $h:val v$

shows  $v \Downarrow v$

using  $h$  by (*induct,auto*)

**lemma** *Vs-reduce-to-themselves[simp]*:

assumes  $h:v \in V T$

shows  $v \Downarrow v$

using  $h$  by (*simp add: values-reduce-to-themselves V-are-values*)

**lemma** *V-sum*:

assumes  $h:x \in V (Data (DSum S_1 S_2))$

shows  $(\exists y. x = InL y \wedge y \in V' S_1) \vee (\exists y. x = InR y \wedge y \in V' S_2)$

using  $h$  by *simp*

### 11.3 Monotonicity

**abbreviation**

$mapsto :: (name \times trm) list \Rightarrow name \Rightarrow trm \Rightarrow bool$  ( *- maps - to -* [55,55,55] 55)

**where**

$\theta$  maps  $x$  to  $e \equiv (lookup \theta x) = e$

**abbreviation**

$v-closes :: (name \times trm) list \Rightarrow (name \times ty) list \Rightarrow bool$  (*- Vcloses -* [55,55] 55)

**where**

$\theta$  Vcloses  $\Gamma \equiv \forall x T. ((x,T) \in set \Gamma \longrightarrow (\exists e. \theta$  maps  $x$  to  $e \wedge e \in (V T)))$

**lemma** *monotonicity*:

fixes  $m::name$

fixes  $\theta::(name \times trm) list$

assumes  $h1: \theta$  Vcloses  $\Gamma$

and  $h2: e \in V T$

and  $h3: valid ((x,T)\#\Gamma)$

shows  $(x,e)\#\theta$  Vcloses  $(x,T)\#\Gamma$

**proof**(*intro strip*)

fix  $x' T'$

assume  $(x',T') \in set ((x,T)\#\Gamma)$

then have  $((x',T')=(x,T)) \vee ((x',T') \in set \Gamma \wedge x' \neq x)$  using  $h3$

by (*rule-tac case-distinction-on-context*)

moreover

{

assume  $(x',T') = (x,T)$

then have  $\exists e'. ((x,e)\#\theta)$  maps  $x$  to  $e' \wedge e' \in V T'$  using  $h2$  by *auto*

```

}
moreover
{
  assume  $(x', T') \in \text{set } \Gamma$  and  $\text{neg}: x' \neq x$ 
  then have  $\exists e'. \theta \text{ maps } x' \text{ to } e' \wedge e' \in V T'$  using h1 by auto
  then have  $\exists e'. ((x, e) \# \theta) \text{ maps } x' \text{ to } e' \wedge e' \in V T'$  using neg by auto
}
ultimately show  $\exists e'. ((x, e) \# \theta) \text{ maps } x' \text{ to } e' \wedge e' \in V T'$  by blast
qed

```

## 11.4 The termination proof

**lemma** *termination-aux*:

```

fixes  $T :: \text{ty}$ 
fixes  $\Gamma :: (\text{name} \times \text{ty}) \text{ list}$ 
fixes  $\theta :: (\text{name} \times \text{trm}) \text{ list}$ 
fixes  $e :: \text{trm}$ 
assumes  $h1: \Gamma \vdash e : T$ 
and  $h2: \theta \text{ Vcloses } \Gamma$ 
shows  $\exists v. \theta \langle e \rangle \Downarrow v \wedge v \in V T$ 
using h2 h1
proof(nominal-induct e avoiding: \Gamma \theta arbitrary: T rule: trm.induct)
  case (App  $e_1 e_2 \Gamma \theta T$ )
  have  $ih_1: \bigwedge \theta \Gamma T. [\theta \text{ Vcloses } \Gamma; \Gamma \vdash e_1 : T] \implies \exists v. \theta \langle e_1 \rangle \Downarrow v \wedge v \in V T$  by fact
  have  $ih_2: \bigwedge \theta \Gamma T. [\theta \text{ Vcloses } \Gamma; \Gamma \vdash e_2 : T] \implies \exists v. \theta \langle e_2 \rangle \Downarrow v \wedge v \in V T$  by fact
  have  $as_1: \theta \text{ Vcloses } \Gamma$  by fact
  have  $as_2: \Gamma \vdash \text{App } e_1 e_2 : T$  by fact
  from  $as_2$  obtain  $T'$  where  $\Gamma \vdash e_1 : T' \rightarrow T$  and  $\Gamma \vdash e_2 : T'$  by auto
  then obtain  $v_1 v_2$  where (i):  $\theta \langle e_1 \rangle \Downarrow v_1 v_1 \in V (T' \rightarrow T)$ 
    and (ii):  $\theta \langle e_2 \rangle \Downarrow v_2 v_2 \in V T'$  using  $ih_1 ih_2 as_1$  by blast
  from (i) obtain  $x e'$ 
    where  $v_1 = \text{Lam}[x].e'$ 
    and (iii):  $(\forall v \in (V T'). \exists v'. e'[x ::= v] \Downarrow v' \wedge v' \in V T)$ 
    and (iv):  $\theta \langle e_1 \rangle \Downarrow \text{Lam}[x].e'$ 
    and  $fr: x \# (\theta, e_1, e_2)$  by blast
  from  $fr$  have  $fr_1: x \# \theta \langle e_1 \rangle$  and  $fr_2: x \# \theta \langle e_2 \rangle$  by (simp-all add: fresh-psubst)
  from (ii) (iii) obtain  $v_3$  where (v):  $e'[x ::= v_2] \Downarrow v_3 v_3 \in V T$  by auto
  from  $fr_2$  (ii) have  $x \# v_2$  by (simp add: fresh-preserved)
  then have  $x \# e'[x ::= v_2]$  by (simp add: fresh-subst-fresh)
  then have  $fr_3: x \# v_3$  using (v) by (simp add: fresh-preserved)
  from  $fr_1 fr_2 fr_3$  have  $x \# (\theta \langle e_1 \rangle, \theta \langle e_2 \rangle, v_3)$  by simp
  with (iv) (ii) (v) have  $\text{App } (\theta \langle e_1 \rangle) (\theta \langle e_2 \rangle) \Downarrow v_3$  by auto
  then show  $\exists v. \theta \langle \text{App } e_1 e_2 \rangle \Downarrow v \wedge v \in V T$  using (v) by auto
next
  case (Pr  $t_1 t_2 \Gamma \theta T$ )
  have  $\Gamma \vdash \text{Pr } t_1 t_2 : T$  by fact
  then obtain  $T_a T_b$  where  $ta: \Gamma \vdash t_1 : \text{Data } T_a$  and  $\Gamma \vdash t_2 : \text{Data } T_b$ 
    and  $eq: T = \text{Data } (DProd T_a T_b)$  by auto
  have  $h: \theta \text{ Vcloses } \Gamma$  by fact
  then obtain  $v_1 v_2$  where  $\theta \langle t_1 \rangle \Downarrow v_1 \wedge v_1 \in V (\text{Data } T_a)$   $\theta \langle t_2 \rangle \Downarrow v_2 \wedge v_2 \in V (\text{Data } T_b)$ 
    using prems by blast
  thus  $\exists v. \theta \langle \text{Pr } t_1 t_2 \rangle \Downarrow v \wedge v \in V T$  using  $eq$  by auto
next

```

**case**  $(Lam\ x\ e\ \Gamma\ \theta\ T)$   
**have**  $ih:\bigwedge\theta\ \Gamma\ T. \llbracket\theta\ Vcloses\ \Gamma; \Gamma \vdash e : T\rrbracket \implies \exists v. \theta\langle e \rangle \Downarrow v \wedge v \in VT$  **by fact**  
**have**  $as_1:\theta\ Vcloses\ \Gamma$  **by fact**  
**have**  $as_2:\Gamma \vdash Lam\ [x].e : T$  **by fact**  
**have**  $fs:x\#\Gamma\ x\#\theta$  **by fact**  
**from**  $as_2\ fs$  **obtain**  $T_1\ T_2$   
**where**  $(i):(x,T_1)\#\Gamma \vdash e:T_2$  **and**  $(ii):T = T_1 \rightarrow T_2$  **by auto**  
**from**  $(i)$  **have**  $(iii):valid\ ((x,T_1)\#\Gamma)$  **by**  $(simp\ add:\ typing\ valid)$   
**have**  $\forall v \in (VT_1). \exists v'. (\theta\langle e \rangle)[x::=v] \Downarrow v' \wedge v' \in VT_2$   
**proof**  
**fix**  $v$   
**assume**  $v \in (VT_1)$   
**with**  $(iii)\ as_1$  **have**  $(x,v)\#\theta\ Vcloses\ (x,T_1)\#\Gamma$  **using**  $monotonicity$  **by auto**  
**with**  $ih\ (i)$  **obtain**  $v'$  **where**  $((x,v)\#\theta)\langle e \rangle \Downarrow v' \wedge v' \in VT_2$  **by blast**  
**then** **have**  $\theta\langle e \rangle[x::=v] \Downarrow v' \wedge v' \in VT_2$  **using**  $fs$   
**by**  $(simp\ add:\ psubst\ subst\ psubst)$   
**then** **show**  $\exists v'. \theta\langle e \rangle[x::=v] \Downarrow v' \wedge v' \in VT_2$  **by auto**  
**qed**  
**then** **have**  $Lam[x].\theta\langle e \rangle \in V(T_1 \rightarrow T_2)$  **by auto**  
**then** **have**  $\theta\langle Lam\ [x].e \rangle \Downarrow Lam[x].\theta\langle e \rangle \wedge Lam[x].\theta\langle e \rangle \in V(T_1 \rightarrow T_2)$  **using**  $fs$  **by auto**  
**thus**  $\exists v. \theta\langle Lam\ [x].e \rangle \Downarrow v \wedge v \in VT$  **using**  $(ii)$  **by auto**  
**next**  
**case**  $(Case\ t'\ n_1\ t_1\ n_2\ t_2\ \Gamma\ \theta\ T)$   
**have**  $f:n_1\#\Gamma\ n_1\#\theta\ n_2\#\Gamma\ n_2\#\theta\ n_2\neq n_1\ n_1\#t'$   
 $n_1\#t_2\ n_2\#t'\ n_2\#t_1$  **by fact**  
**have**  $h:\theta\ Vcloses\ \Gamma$  **by fact**  
**have**  $th:\Gamma \vdash Case\ t'\ of\ inl\ n_1 \rightarrow t_1 \mid inr\ n_2 \rightarrow t_2 : T$  **by fact**  
**then** **obtain**  $S_1\ S_2$  **where**  
 $hm:\Gamma \vdash t' : Data\ (DSum\ S_1\ S_2)$  **and**  
 $hl:(n_1,Data\ S_1)\#\Gamma \vdash t_1 : T$  **and**  
 $hr:(n_2,Data\ S_2)\#\Gamma \vdash t_2 : T$  **using**  $f$  **by auto**  
**then** **obtain**  $v_0$  **where**  $ht':\theta\langle t' \rangle \Downarrow v_0$  **and**  $hS:v_0 \in V(Data\ (DSum\ S_1\ S_2))$  **using**  $prems\ h$  **by blast**  
**{**  
**fix**  $v_0'$   
**assume**  $eqc:v_0 = InL\ v_0'$  **and**  $v_0' \in V'\ S_1$   
**then** **have**  $inc:v_0' \in V(Data\ S_1)$  **by auto**  
**have**  $valid\ \Gamma$  **using**  $th\ typing\ valid$  **by auto**  
**then** **moreover** **have**  $valid\ ((n_1,Data\ S_1)\#\Gamma)$  **using**  $f$  **by auto**  
**then** **moreover** **have**  $(n_1,v_0')\#\theta\ Vcloses\ (n_1,Data\ S_1)\#\Gamma$   
**using**  $inc\ h\ monotonicity$  **by blast**  
**moreover** **have**  $ih:\bigwedge\Gamma\ \theta\ T. \llbracket\theta\ Vcloses\ \Gamma; \Gamma \vdash t_1 : T\rrbracket \implies$   
 $\exists v. \theta\langle t_1 \rangle \Downarrow v \wedge v \in VT$  **by fact**  
**ultimately** **obtain**  $v_1$  **where**  $ho:((n_1,v_0')\#\theta)\langle t_1 \rangle \Downarrow v_1 \wedge v_1 \in VT$  **using**  $hl$  **by blast**  
**then** **have**  $r:\theta\langle t_1 \rangle[n_1::=v_0'] \Downarrow v_1 \wedge v_1 \in VT$  **using**  $psubst\ subst\ psubst\ f$  **by simp**  
**then** **moreover** **have**  $n_1\#(\theta\langle t' \rangle, \theta\langle t_2 \rangle, v_1, n_2)$   
**proof**  $-$   
**have**  $n_1\#v_0$  **using**  $ht'\ fresh\ preserved\ fresh\ psubst\ f$  **by auto**  
**then** **have**  $n_1\#v_0'$  **using**  $eqc$  **by auto**  
**then** **have**  $n_1\#v_1$  **using**  $f\ r\ fresh\ preserved\ fresh\ subst\ fresh$  **by blast**  
**thus**  $n_1\#(\theta\langle t' \rangle, \theta\langle t_2 \rangle, v_1, n_2)$  **using**  $f$  **by**  $(simp\ add:\ fresh\ atm\ fresh\ psubst)$   
**qed**



moreover have  $n_2 \# (\theta \langle t' \rangle, \theta \langle t_1 \rangle, v_1, n_1)$   
 proof –  
 have  $n_2 \# v_0$  using *ht' fresh-preserved fresh-psubst f by auto*  
 then have  $n_2 \# v_0'$  using *eqc by auto*  
 then have  $n_2 \# ((n_1, v_0') \# \theta)$  using *f fresh-list-cons fresh-atm by force*  
 then have  $n_2 \# ((n_1, v_0') \# \theta) \langle t_1 \rangle$  using *f fresh-psubst by auto*  
 moreover then have  $n_2 \# v_1$  using *fresh-preserved ho by auto*  
 ultimately show  $n_2 \# (\theta \langle t' \rangle, \theta \langle t_1 \rangle, v_1, n_1)$  using *f by (simp add: fresh-psubst fresh-atm)*  
 qed  
 ultimately have *Case  $\theta \langle t' \rangle$  of inl  $n_1 \rightarrow \theta \langle t_1 \rangle \mid$  inr  $n_2 \rightarrow \theta \langle t_2 \rangle \Downarrow v_1 \wedge v_1 \in V T$  using *ht'**  
*eqc by auto*  
 moreover  
 have *Case  $\theta \langle t' \rangle$  of inl  $n_1 \rightarrow \theta \langle t_1 \rangle \mid$  inr  $n_2 \rightarrow \theta \langle t_2 \rangle = \theta \langle \text{Case } t' \text{ of inl } n_1 \rightarrow t_1 \mid$  inr  $n_2$*   
 $\rightarrow t_2 \rangle$   
 using *f by auto*  
 ultimately have  $\exists v. \theta \langle \text{Case } t' \text{ of inl } n_1 \rightarrow t_1 \mid$  inr  $n_2 \rightarrow t_2 \rangle \Downarrow v \wedge v \in V T$  using *auto*  
 }  
 moreover  
 {  
 fix  $v_0'$   
 assume *eqc:  $v_0 = \text{InR } v_0'$  and  $v_0' \in V' S_2$*   
 then have *inc:  $v_0' \in V (\text{Data } S_2)$  by auto*  
 have *valid  $\Gamma$  using th typing-valid by auto*  
 then moreover have *valid  $((n_2, \text{Data } S_2) \# \Gamma)$  using *f by auto**  
 then moreover have  $(n_2, v_0') \# \theta \text{ Vcloses } (n_2, \text{Data } S_2) \# \Gamma$   
 using *inc h monotonicity by blast*  
 moreover have *ih:  $\bigwedge \Gamma \theta T. \llbracket \theta \text{ Vcloses } \Gamma; \Gamma \vdash t_2 : T \rrbracket \implies \exists v. \theta \langle t_2 \rangle \Downarrow v \wedge v \in V T$  by fact*  
 ultimately obtain  $v_2$  where *ho:  $((n_2, v_0') \# \theta) \langle t_2 \rangle \Downarrow v_2 \wedge v_2 \in V T$  using *hr by blast**  
 then have *r:  $\theta \langle t_2 \rangle [n_2 ::= v_0'] \Downarrow v_2 \wedge v_2 \in V T$  using *psubst-subst-psubst f by simp**  
 moreover have  $n_1 \# (\theta \langle t' \rangle, \theta \langle t_2 \rangle, v_2, n_2)$   
 proof –  
 have  $n_1 \# \theta \langle t' \rangle$  using *fresh-psubst f by simp*  
 then have  $n_1 \# v_0$  using *ht' fresh-preserved by auto*  
 then have  $n_1 \# v_0'$  using *eqc by auto*  
 then have  $n_1 \# ((n_2, v_0') \# \theta)$  using *f fresh-list-cons fresh-atm by force*  
 then have  $n_1 \# ((n_2, v_0') \# \theta) \langle t_2 \rangle$  using *f fresh-psubst by auto*  
 moreover then have  $n_1 \# v_2$  using *fresh-preserved ho by auto*  
 ultimately show  $n_1 \# (\theta \langle t' \rangle, \theta \langle t_2 \rangle, v_2, n_2)$  using *f by (simp add: fresh-psubst fresh-atm)*  
 qed  
 moreover have  $n_2 \# (\theta \langle t' \rangle, \theta \langle t_1 \rangle, v_2, n_1)$   
 proof –  
 have  $n_2 \# \theta \langle t' \rangle$  using *fresh-psubst f by simp*  
 then have  $n_2 \# v_0$  using *ht' fresh-preserved by auto*  
 then have  $n_2 \# v_0'$  using *eqc by auto*  
 then have  $n_2 \# \theta \langle t_2 \rangle [n_2 ::= v_0']$  using *f fresh-subst-fresh by auto*  
 then have  $n_2 \# v_2$  using *f fresh-preserved r by blast*  
 then show  $n_2 \# (\theta \langle t' \rangle, \theta \langle t_1 \rangle, v_2, n_1)$  using *f by (simp add: fresh-atm fresh-psubst)*  
 qed  
 ultimately have *Case  $\theta \langle t' \rangle$  of inl  $n_1 \rightarrow \theta \langle t_1 \rangle \mid$  inr  $n_2 \rightarrow \theta \langle t_2 \rangle \Downarrow v_2 \wedge v_2 \in V T$  using *ht'**  
*eqc by auto*  
 then have  $\exists v. \theta \langle \text{Case } t' \text{ of inl } n_1 \rightarrow t_1 \mid$  inr  $n_2 \rightarrow t_2 \rangle \Downarrow v \wedge v \in V T$  using *f by auto*  
 }  
 ultimately show  $\exists v. \theta \langle \text{Case } t' \text{ of inl } n_1 \rightarrow t_1 \mid$  inr  $n_2 \rightarrow t_2 \rangle \Downarrow v \wedge v \in V T$  using *hS V-sum*

**by** *blast*  
**qed** (*force*)+

Well type closed terms reduce to a value

**theorem** *termination-of-evaluation*:

**assumes**  $a: [] \vdash e : T$

**shows**  $\exists v. e \Downarrow v \wedge \text{val } v$

**proof** –

**from**  $a$  **have**  $\exists v. (([] :: (\text{name} \times \text{trm}) \text{list}) <e>) \Downarrow v \wedge v \in VT$

**by** (*rule termination-aux*) (*auto*)

**thus**  $\exists v. e \Downarrow v \wedge \text{val } v$  **using** *V-are-values* **by** *auto*

**qed**

**end**