# Formalisation of Logical Relations proofs using the Nominal Package

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#### Abstract

We present in this paper a formalisation of the chapter *Logical Relations and a Case Study in Equivalence Checking* by Karl Crary from the book on *Advanced Topics in Types and Programming Languages*, MIT Press 2005. We use a fully nominal approach to deal with binders. The formalisation has been performed within the Isabelle/HOL proof assistant using the Nominal Package.

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## 1 Introduction

For several reasons, proof assistants can be useful for proving properties of programming languages. Indeed, often the proofs consist in inductions involving cases, many of which are trivial. But it hard to guess in advance which case is trivial and even a small error can invalidate a result. Even more the use of a proof assistant can also help the researcher: it is possible to quickly check after a modification of the definitions if the proof is still valid. But in practice, the formalisation of proofs about programming has to address many troubles. The main problem, which is well known in the community is the representation of binders. Informal proofs contains arguments such as 'by renaming of the variables' or 'reasoning modulo alpha conversion'. These arguments are very hard to formalise. Several solutions have been proposed to try to solve this problem. On solution to represent binder is by using De-Bruijn indices. This alleviates such problems about too many details and in some cases leads to very slick proofs. Unfortunately, by using De-Bruijn indices the "symbol-pushing" involves a rather large amount of arithmetic on indices which is not present in informal descriptions. Another method of representing binders is by using higher-order abstract-syntax (HOAS) where the meta-language provides binding-constructs. The disadvantage with HOAS is that one has to encode the language at hand and use the reasoning infrastructure the theorem prover, for example Twelf, provides. In practice this means often that reasoning does not proceed as one would expect from the informal reasoning on paper.

These solution tend to force the user of the system to modify his proofs. We think that this should be the opposite, the system should be modified.

That is why we are currently developing a package for the Isabelle/HOL proof assistant [3]. which provides an infrastructure in the theorem prover Isabelle/HOL for representing binders as named  $\alpha$ -equivalence classes [1, 5, 4].

In this paper, we formalise the chapter about Logical Relation and a Case Study in Equivalence Checking by Karl Crary of the book *Advanced Topics in Types and Programming Languages*[2]. This example is interesting because logical relations are a fundamental technique for proving properties of programming languages. The purpose of this formalisation is to test and improve the Nominal Package in the context of a 'real life' example. Indeed, this chapter is not an exception, the problem of binders is treated informally, on the first page the reader can find the following sentence: 'As usual, we will identify terms that differ only in the names of bound variables, and our substitution is capture avoiding'.

The formalisation we provide has been realized withing the Isar language [6] within the Isabelle/HOL proof assistant[3]. The definitions and proofs given in this paper have been generated automatically from the formal proofs.

theory Crary imports ../Nominal

## 2 Definition of the language

## 2.1 Definition of the terms and types

First we define the type of atom names which will be used for binders. Each atom type is infinitely many atoms and equality is decidable.

#### atom-decl name

We define the datatype representing types. Although, It does not contain any binder we still use the **nominal\_datatype** command because the Nominal datatype package will prodive permutation functions and useful lemmas.

```
\begin{array}{l} \textbf{nominal-datatype} \ ty = \\ TBase \\ \mid TUnit \\ \mid Arrow \ ty \ ty \ (-\rightarrow - \ [100, 100] \ 100) \end{array}
```

The datatype of terms contains a binder. The notation  $\ll name \gg trm$  means that the name is bound inside trm.

```
nominal-datatype trm =
    Unit
    Var name
    Lam «name»trm (Lam [-].- [100,100] 100)
    App trm trm
    Const nat
```

**types**  $Ctxt = (name \times ty)$  list **types**  $Subst = (name \times trm)$  list

As the datatype of types does not contain any binder, the application of a permutation is the identity function. In the future, this should be automatically derived by the package.

```
lemma perm-ty[simp]:

fixes T::ty

and pi::name prm

shows pi \cdot T = T

by (induct T rule: ty.weak-induct) (simp-all)
```

lemma fresh-ty[simp]:
fixes x::name
and T::ty
shows x#T
by (simp add: fresh-def supp-def)

```
lemma ty-cases:

fixes T::ty

shows (\exists T_1 T_2, T=T_1 \rightarrow T_2) \lor T=TUnit \lor T=TBase

by (induct T rule:ty.weak-induct) (auto)
```

## 2.2 Size functions

We define size functions for types and terms. As Isabelle allows overloading we can use the same notation for both functions.

These functions are automatically generated for non nominal datatypes. In the future, we need to extend the package to generate size functions automatically for nominal datatypes as well.

The definition of a function using the nominal package generates four groups of proof obligations.

The first group are goal of the form finite(supp ()), these often be solve using the finite\_guess tactic. The second group of goals corresponds to the invariant. If the user has not chosen to setup an invariant, then it just true and hence can easily be solved.

instance ty :: size ..

#### nominal-primrec

size (TBase) = 1size (TUnit) = 1size  $(T_1 \rightarrow T_2) = size T_1 + size T_2$ by (rule TrueI) +

```
lemma ty-size-greater-zero[simp]:
fixes T::ty
shows size T > 0
by (nominal-induct rule:ty.induct) (simp-all)
```

## 3 Capture-avoiding substitutions

In this section we define parallel substitution. The usual substitution will be derived as a special case of parallel substitution. But first we define a function to lookup for the term corresponding to a type in an association list. Note that if the term does not appear in the list then we return a variable of that name.

```
fun
  lookup :: Subst \Rightarrow name \Rightarrow trm
where
                     = Var x
  lookup [] x
| lookup ((y,T)\#\theta) x = (if x=y then T else lookup \theta x)
lemma lookup-eqvt[eqvt]:
  fixes pi::name prm
  shows pi \cdot (lookup \ \theta \ x) = lookup \ (pi \cdot \theta) \ (pi \cdot x)
by (induct \theta) (auto simp add: perm-bij)
lemma lookup-fresh:
  fixes z::name
  assumes a: z \# \theta \ z \# x
  shows z \# \ lookup \ \theta \ x
using a
by (induct rule: lookup.induct)
   (auto simp add: fresh-list-cons)
```

```
lemma lookup-fresh':
```

```
assumes a: z \# \theta
shows lookup \theta z = Var z
using a
by (induct rule: lookup.induct)
(auto simp add: fresh-list-cons fresh-prod fresh-atm)
```

### 3.1 Parallel substitution

 $\mathbf{consts}$ 

 $psubst :: Subst \Rightarrow trm \Rightarrow trm (-<-> [60, 100] 100)$ 

#### nominal-primrec

```
\begin{array}{l} \theta < (Var \; x) > = (lookup \; \theta \; x) \\ \theta < (App \; t_1 \; t_2) > = App \; (\theta < t_1 >) \; (\theta < t_2 >) \\ x \# \theta \Longrightarrow \theta < (Lam \; [x].t) > = Lam \; [x].(\theta < t >) \\ \theta < (Const \; n) > = Const \; n \\ \theta < (Unit) > = Unit \\ \textbf{apply}(finite-guess) + \\ \textbf{apply}(rule \; TrueI) + \\ \textbf{apply}(simp \; add: \; abs-fresh) + \\ \textbf{apply}(fresh-guess) + \\ \textbf{done} \end{array}
```

### 3.2 Substitution

The substitution function is defined just as a special case of parallel substitution.

abbreviation subst ::  $trm \Rightarrow name \Rightarrow trm \Rightarrow trm (-[-::=-] [100,100,100] 100)$ where  $t[x::=t'] \equiv ([(x,t')]) < t >$ lemma subst[simp]: shows (Var x)[y::=t'] = (if x=y then t' else (Var x))and  $(App t_1 t_2)[y::=t'] = App (t_1[y::=t']) (t_2[y::=t'])$ and  $x \#(y,t') \Longrightarrow (Lam [x].t)[y::=t'] = Lam [x].(t[y::=t'])$ and Const n[y::=t'] = Const n

and Unit [y::=t'] = Unit

**by** (*simp-all add: fresh-list-cons fresh-list-nil*)

### 3.3 Lemmas about freshness and substitutions

lemma subst-rename: fixes c::nameassumes  $a: c#t_1$ 

shows  $t_1[a::=t_2] = ([(c,a)] \cdot t_1)[c::=t_2]$ using a $apply(nominal-induct t_1 avoiding: a c t_2 rule: trm.induct)$ **apply**(simp add: trm.inject calc-atm fresh-atm abs-fresh perm-nat-def)+ done **lemma** *fresh-psubst*: fixes z::name assumes  $a: z \# t \ z \# \theta$ shows  $z \#(\theta < t >)$ using aby (nominal-induct t avoiding:  $z \ \theta \ t \ rule$ : trm.induct) (auto simp add: abs-fresh lookup-fresh) lemma fresh-subst'': fixes z::name assumes  $z \# t_2$ shows  $z \# t_1[z::=t_2]$ using assms **by** (nominal-induct  $t_1$  avoiding:  $t_2$  z rule: trm.induct) (auto simp add: abs-fresh fresh-nat fresh-atm) **lemma** *fresh-subst'*: fixes z::name assumes  $z \# [y] . t_1 \ z \# t_2$ shows  $z \# t_1[y::=t_2]$ using assms by (nominal-induct  $t_1$  avoiding:  $y t_2 z$  rule: trm.induct) (auto simp add: abs-fresh fresh-nat fresh-atm) lemma fresh-subst: fixes z::name assumes  $a: z \# t_1 \ z \# t_2$ shows  $z \# t_1[y::=t_2]$ using a**by** (*auto simp add: fresh-subst' abs-fresh*) **lemma** *fresh-psubst-simp*: assumes x # tshows  $(x,u)\#\theta < t > = \theta < t >$ using assms **proof** (nominal-induct t avoiding:  $x \ u \ \theta \ rule: trm.induct$ ) case  $(Lam \ y \ t \ x \ u)$ have fs:  $y \# \theta \ y \# x \ y \# u$  by fact moreover have x # Lam [y].t by fact ultimately have x # t by (simp add: abs-fresh fresh-atm) moreover have  $ih: \Lambda n \ T. \ n\#t \implies ((n,T)\#\theta) < t > = \theta < t >$  by fact ultimately have  $(x,u)#\theta < t > = \theta < t >$  by *auto* moreover have  $(x,u)\#\theta < Lam [y].t > = Lam [y]. ((x,u)\#\theta < t >)$  using fs **by** (*simp add: fresh-list-cons fresh-prod*) **moreover have**  $\theta < Lam [y].t > = Lam [y]. (\theta < t >)$  using fs by simp ultimately show  $(x,u)\#\theta < Lam [y].t > = \theta < Lam [y].t > by auto$ **qed** (*auto simp add: fresh-atm abs-fresh*)

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```
lemma forget:
 fixes x::name
 assumes a: x \# t
 shows t[x:=t'] = t
 using a
by (nominal-induct t avoiding: x t' rule: trm.induct)
  (auto simp add: fresh-atm abs-fresh)
lemma subst-fun-eq:
 fixes u::trm
 assumes h:[x].t_1 = [y].t_2
 shows t_1[x::=u] = t_2[y::=u]
proof –
  {
   assume x=y and t_1=t_2
   then have ?thesis using h by simp
 }
 moreover
 {
   assume h1:x \neq y and h2:t_1=[(x,y)] \cdot t_2 and h3:x \# t_2
   then have ([(x,y)] \cdot t_2)[x::=u] = t_2[y::=u] by (simp add: subst-rename)
   then have ?thesis using h2 by simp
 }
 ultimately show ?thesis using alpha h by blast
qed
lemma psubst-empty[simp]:
 shows || < t > = t
by (nominal-induct t rule: trm.induct)
  (auto simp add: fresh-list-nil)
lemma psubst-subst-psubst:
 assumes h: c \# \theta
 shows \theta < t > [c:=s] = (c,s) \# \theta < t >
 using h
by (nominal-induct t avoiding: \theta c s rule: trm.induct)
  (auto simp add: fresh-list-cons fresh-atm forget lookup-fresh lookup-fresh' fresh-psubst)
lemma subst-fresh-simp:
 assumes a: x \# \theta
 shows \theta < Var \ x > = Var \ x
using a
by (induct \theta arbitrary: x, auto simp add:fresh-list-cons fresh-prod fresh-atm)
lemma psubst-subst-propagate:
 assumes x \# \theta
 shows \theta < t[x::=u] > = \theta < t > [x::=\theta < u >]
using assms
proof (nominal-induct t avoiding: x \ u \ \theta \ rule: trm.induct)
 case (Var n x u \theta)
 { assume x=n
   moreover have x \# \theta by fact
```

```
8
```

ultimately have  $\theta < Var \ n[x::=u] > = \theta < Var \ n > [x::=\theta < u > ]$  using subst-fresh-simp by auto } moreover { assume  $h:x \neq n$ then have  $x \# Var \ n$  by (auto simp add: fresh-atm) moreover have  $x \# \theta$  by fact ultimately have  $x \# \theta < Var \ n >$  using fresh-psubst by blast then have  $\theta < Var \ n > [x::=\theta < u >] = \theta < Var \ n > using forget by auto$ then have  $\theta < Var \ n[x::=u] > = \theta < Var \ n > [x::=\theta < u >]$  using h by auto } ultimately show ?case by auto next case (Lam  $n t x u \theta$ ) have  $fs:n\#x n\#u n\#\theta x\#\theta$  by fact have  $ih: \bigwedge y \in \theta$ .  $y \# \theta \Longrightarrow ((\theta < (t[y::=s]) >) = ((\theta < t >)[y::=(\theta < s >)]))$  by fact have  $\theta < (Lam [n].t)[x::=u] > = \theta < Lam [n]. (t [x::=u]) > using fs by auto$ then have  $\theta < (Lam [n], t)[x:=u] > = Lam [n], \theta < t [x:=u] > using fs by auto$ moreover have  $\theta < t[x::=u] > = \theta < t > [x::=\theta < u >]$  using *ih* fs by blast ultimately have  $\theta < (Lam [n].t)[x::=u] > = Lam [n].(\theta < t > [x::=\theta < u > ])$  by auto **moreover have** Lam  $[n].(\theta < t > [x::=\theta < u >]) = (Lam [n].\theta < t >)[x::=\theta < u >]$  using fs fresh-psubst by auto ultimately have  $\theta < (Lam [n],t)[x::=u] > = (Lam [n],\theta < t >)[x::=\theta < u >]$  using fs by auto then show  $\theta < (Lam [n].t)[x::=u] > = \theta < Lam [n].t > [x::=\theta < u >]$  using fs by auto

#### $\mathbf{qed} \ (auto)$

## 4 Typing

### 4.1 Typing contexts

This section contains the definition and some properties of a typing context. As the concept of context often appears in the litterature and is general, we should in the future provide these lemmas in a library.

#### Definition of the Validity of contexts

First we define what valid contexts are. Informally a context is valid is it does not contains twice the same variable.

We use the following two inference rules:

valid []V\_NIL 
$$\frac{valid \ \Gamma \ a \ \# \ \Gamma}{valid \ ((a, \ T) \ \# \ \Gamma)}$$
V\_CONS

We need to derive the equivariance lemma for the relation valid. If all the constants which appear in the inductive definition have previously been shown to be equivariant and the lemmas have been tagged using the equivariant attribute then this proof can automated using the nominal\_inductive command.

#### equivariance valid

We obtain the following lemma under the name valid.eqvt:

If valid x then valid  $(pi \cdot x)$ .

Now, we generate the inversion lemma for non empty lists. We add the elim attribute to tell the automated tactics to use it.

#### inductive-cases2

valid-cons-elim-auto[elim]:valid  $((x,T)\#\Gamma)$ 

The generated theorem is the following:

 $\llbracket valid \ ((x, \ T) \ \# \ \Gamma); \ \llbracket valid \ \Gamma; \ x \ \# \ \Gamma \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$ 

**Definition of sub-contexts** The definition of sub context is standard. We do not use the subset definition to prevent the need for unfolding the definition. We include validity in the definition to shorten the statements.

### abbreviation sub-context :: $Ctxt \Rightarrow Ctxt \Rightarrow bool ( - \subseteq - [55, 55] 55)$ where $\Gamma_1 \subseteq \Gamma_2 \equiv \forall \ a \ T. \ (a, T) \in set \ \Gamma_1 \longrightarrow (a, T) \in set \ \Gamma_2$

**Lemmas about valid contexts** Now, we can prove two useful lemmas about valid contexts.

```
lemma valid-monotonicity[elim]:
 assumes a: \Gamma \subseteq \Gamma'
           b: x \# \Gamma'
 and
 shows (x, T_1) \# \Gamma \subseteq (x, T_1) \# \Gamma'
using a b by auto
lemma fresh-context:
  fixes \Gamma :: Ctxt
  and
         a :: name
  assumes a \# \Gamma
  shows \neg(\exists \tau :: ty. (a, \tau) \in set \Gamma)
using assms
by (induct \Gamma)
   (auto simp add: fresh-prod fresh-list-cons fresh-atm)
lemma type-unicity-in-context:
  assumes a: valid \Gamma
  and
            b: (x, T_1) \in set \ \Gamma
            c: (x, T_2) \in set \ \Gamma
  and
  shows T_1 = T_2
```

**using**  $a \ b \ c$ **by** (*induct*  $\Gamma$ )

(auto dest!: fresh-context)

$$\begin{array}{c} \underbrace{valid\ \Gamma} & (x,\ T) \in set\ \Gamma}_{\Gamma \vdash Var\ x:\ T} & \underbrace{\Gamma \vdash e_1:\ T_1 \rightarrow T_2 \qquad \Gamma \vdash e_2:\ T_1}_{\Gamma \vdash App\ e_1\ e_2:\ T_2} \mathsf{T}_{-}\mathsf{App} \\ & \underbrace{\frac{x\ \#\ \Gamma} & (x,\ T_1)\ \#\ \Gamma \vdash t:\ T_2}_{\Gamma \vdash Lam\ [x].t:\ T_1 \rightarrow T_2} \mathsf{T}_{-}\mathsf{LAM} \\ & \underbrace{\frac{valid\ \Gamma}{\Gamma \vdash Const\ n:\ TBase}}_{\Gamma \vdash CONST} & \underbrace{\frac{valid\ \Gamma}{\Gamma \vdash Unit:\ TUnit}}_{\Gamma \vdash Unit:\ TUnit} \mathsf{T}_{-}\mathsf{UNIT} \end{array}$$

Figure 1: Typing rules

#### 4.2 Definition of the typing relation

Now, we can define the typing judgements for terms. The rules are given in figure 1.

Now, we generate the equivariance lemma and the strong induction principle and we derive the lemma about validity.

equivariance typing

```
nominal-inductive typing

by (simp-all add: abs-fresh)

lemma typing-implies-valid:

assumes a: \Gamma \vdash t : T
```

shows valid  $\Gamma$ using a by (induct) (auto)

### 4.3 Inversion lemmas for the typing relation

We generate some inversion lemmas for the typing judgment and add them as elimination rules for the automatic tactics. During the generation of these lemmas, we need the injectivity properties of the constructor of the nominal datatypes. These are not added by default in the set of simplification rules to prevent unwanted simplifications in the rest of the development. In the future, the inductive\_cases will be reworked to allow to use its own set of rules instead of the whole 'simpset'.

```
declare trm.inject [simp del]
declare ty.inject [simp del]
```

 $App \ (Lam \ [x].t_1) \ t_2 \rightsquigarrow t_1[x::=t_2] QAR\_BETA \qquad \frac{t_1 \rightsquigarrow t_1'}{App \ t_1 \ t_2 \rightsquigarrow App \ t_1' \ t_2} QAR\_APP$ 

## 5 Definitional Equivalence

$$\begin{array}{ll} \displaystyle \frac{\Gamma \vdash t:T}{\Gamma \vdash t \equiv t:T} \mathrm{Q}_{-}\mathrm{ReFL} & \displaystyle \frac{\Gamma \vdash t \equiv s:T}{\Gamma \vdash s \equiv t:T} \mathrm{Q}_{-}\mathrm{SYMM} \\ & \displaystyle \frac{\Gamma \vdash s \equiv t:T}{\Gamma \vdash s \equiv t:T} \mathrm{Q}_{-}\mathrm{TRANS} \\ \displaystyle \frac{\Gamma \vdash s_1 \equiv t_1:T_1 \rightarrow T_2 \quad \Gamma \vdash s_2 \equiv t_2:T_1}{\Gamma \vdash App \; s_1 \; s_2 \equiv App \; t_1 \; t_2:T_2} \mathrm{Q}_{-}\mathrm{APP} \\ & \displaystyle \frac{x \ \# \ \Gamma & (x, \ T_1) \ \# \ \Gamma \vdash s_2 \equiv t_2:T_2}{\Gamma \vdash Lam \; [x].s_2 \equiv Lam \; [x].t_2:T_1 \rightarrow T_2} \mathrm{Q}_{-}\mathrm{ABS} \\ \displaystyle \frac{x \ \# (\Gamma, s_2, t_2) \quad (x, \ T_1) \ \# \ \Gamma \vdash s_1 \equiv t_1:T_2 \quad \Gamma \vdash s_2 \equiv t_2:T_1}{\Gamma \vdash App \; (Lam \; [x].s_1) \; s_2 \equiv t_1[x::=t_2]:T_2} \mathrm{Q}_{-}\mathrm{BETA} \\ \displaystyle \frac{x \ \# (\Gamma, s, t) \quad (x, \ T_1) \ \# \ \Gamma \vdash App \; s \; (Var \; x) \equiv App \; t \; (Var \; x):T_2}{\Gamma \vdash s \equiv t:T_1 \rightarrow T_2} \mathrm{Q}_{-}\mathrm{ExT} \\ \displaystyle \frac{\Gamma \vdash s:TUnit}{\Gamma \vdash s \equiv t:TUnit} \quad \Gamma \vdash t:TUnit}{\Gamma \vdash s \equiv t:TUnit} \mathrm{Q}_{-}\mathrm{UNIT} \end{array}$$

It is now a tradition, we derive the lemma about validity, and we generate the equivariance lemma and the strong induction principle.

### ${\bf equivariance} \ def{-equiv}$

```
nominal-inductive def-equiv
by (simp-all add: abs-fresh fresh-subst'')
```

**lemma** def-equiv-implies-valid: **assumes**  $a: \Gamma \vdash t \equiv s : T$  **shows** valid  $\Gamma$ **using** a **by** (induct) (auto elim: typing-implies-valid)

## 6 Type-driven equivalence algorithm

We follow the original presentation. The algorithm is described using inference rules only.

## 6.1 Weak head reduction

### 6.1.1 Inversion lemma for weak head reduction

**declare** trm.inject [simp add] **declare** ty.inject [simp add]

inductive-cases2 whr-Gen[elim]:  $t \rightsquigarrow t'$ 

```
inductive-cases2 whr-Lam[elim]: Lam [x].t \rightsquigarrow t'
inductive-cases2 whr-App-Lam[elim]: App (Lam [x].t12) t2 \rightsquigarrow t
inductive-cases2 whr-Var[elim]: Var x \rightsquigarrow t
inductive-cases2 whr-Const[elim]: Const n \rightsquigarrow t
inductive-cases2 whr-App[elim]: App p q \rightsquigarrow t
inductive-cases2 whr-Const-Right[elim]: t \rightsquigarrow Const n
inductive-cases2 whr-Var-Right[elim]: t \rightsquigarrow Var x
inductive-cases2 whr-App-Right[elim]: t \rightsquigarrow App p q
```

```
declare trm.inject [simp del]
declare ty.inject [simp del]
```

```
equivariance whr-def
```

## 6.2 Weak head normalization

```
abbreviation
```

```
\begin{array}{l} nf :: trm \Rightarrow bool (- \rightsquigarrow \mid [100] \ 100) \\ \textbf{where} \\ t \rightsquigarrow \mid \equiv \neg(\exists \ u. \ t \rightsquigarrow u) \end{array}
```

$$\frac{s \rightsquigarrow t \qquad t \Downarrow u}{s \Downarrow u} \text{QAN\_REDUCE} \qquad \frac{t \rightsquigarrow}{t \Downarrow t} \text{QAN\_NORMAL}$$

**declare** trm.inject[simp]

inductive-cases 2 whn-inv-auto[elim]:  $t \Downarrow t'$ 

```
declare trm.inject[simp del]
```

```
lemma whn-eqvt[eqvt]:
 fixes pi::name prm
 assumes a: t \Downarrow t'
 shows (pi \cdot t) \Downarrow (pi \cdot t')
using a
apply(induct)
apply(rule QAN-Reduce)
apply(rule whr-def.eqvt)
apply(assumption)+
apply(rule QAN-Normal)
apply(auto)
apply(drule-tac pi=rev pi in whr-def.eqvt)
apply(perm-simp)
done
lemma red-unicity :
 assumes a: x \rightsquigarrow a
```

and  $b: x \rightsquigarrow b$ shows a=b

using  $a \ b$ **apply** (*induct arbitrary*: b) **apply** (*erule whr-App-Lam*) **apply** (*clarify*) **apply** (*rule subst-fun-eq*) apply (simp) apply (force) **apply** (erule whr-App) apply (blast)+done **lemma** *nf*-unicity : assumes  $x \Downarrow a$  and  $x \Downarrow b$ shows a=busing assms **proof** (*induct arbitrary*: b) **case**  $(QAN\text{-}Reduce \ x \ t \ a \ b)$ have  $h:x \rightsquigarrow t t \Downarrow a$  by fact have  $ih: \bigwedge b. t \Downarrow b \Longrightarrow a = b$  by fact have  $x \Downarrow b$  by fact then obtain t' where  $x \rightsquigarrow t'$  and  $hl:t' \Downarrow b$  using h by auto then have t=t' using h red-unicity by auto then show a=b using *ih* hl by *auto* qed (*auto*)

## 6.3 Algorithmic term equivalence and algorithmic path equivalence

$$\frac{s \Downarrow p \qquad t \Downarrow q \qquad \Gamma \vdash p \leftrightarrow q : TBase}{\Gamma \vdash s \Leftrightarrow t : TBase} QAT\_BASE}$$

$$\frac{x \# (\Gamma, s, t) \qquad (x, T_1) \# \Gamma \vdash App \ s \ (Var \ x) \Leftrightarrow App \ t \ (Var \ x) : T_2}{\Gamma \vdash s \Leftrightarrow t : T_1 \rightarrow T_2} QAT\_ARROW$$

$$\frac{valid \ \Gamma}{\Gamma \vdash s \Leftrightarrow t : TUnit} QAT\_ONE$$

$$\frac{valid \ \Gamma}{\Gamma \vdash Var \ x \leftrightarrow Var \ x : T} QAP\_VAR$$

$$\frac{\Gamma \vdash p \leftrightarrow q : T_1 \rightarrow T_2 \qquad \Gamma \vdash s \Leftrightarrow t : T_1}{\Gamma \vdash App \ p \ s \leftrightarrow App \ q \ t : T_2} QAP\_APP$$

$$\frac{valid \ \Gamma}{\Gamma \vdash Const \ n \leftrightarrow Const \ n : TBase} QAP\_CONST$$

Again we generate the equivariance lemma and the strong induction principle. equivariance *alg-equiv* 

nominal-inductive alg-equiv avoids QAT-Arrow: x by simp-all thm alg-equiv-alg-path-equiv.strong-induct

#### 6.3.1 Inversion lemmas for algorithmic term and path equivalences

**declare** trm.inject [simp add] **declare** ty.inject [simp add]

inductive-cases2 alg-equiv-Base-inv-auto[elim]:  $\Gamma \vdash s \Leftrightarrow t$ : TBase inductive-cases2 alg-equiv-Arrow-inv-auto[elim]:  $\Gamma \vdash s \Leftrightarrow t$ :  $T_1 \rightarrow T_2$ 

inductive-cases2 alg-path-equiv-Base-inv-auto[elim]:  $\Gamma \vdash s \leftrightarrow t$ : TBase inductive-cases2 alg-path-equiv-Unit-inv-auto[elim]:  $\Gamma \vdash s \leftrightarrow t$ : TUnit inductive-cases2 alg-path-equiv-Arrow-inv-auto[elim]:  $\Gamma \vdash s \leftrightarrow t$ :  $T_1 \rightarrow T_2$ 

**declare** trm.inject [simp del] **declare** ty.inject [simp del]

lemma Q-Arrow-strong-inversion: assumes  $fs: x\#\Gamma x\#t x\#u$ and  $h: \Gamma \vdash t \Leftrightarrow u: T_1 \rightarrow T_2$ shows  $(x,T_1)\#\Gamma \vdash App \ t \ (Var \ x) \Leftrightarrow App \ u \ (Var \ x) : T_2$ proof – obtain y where  $fs2: y\#(\Gamma,t,u)$  and  $(y,T_1)\#\Gamma \vdash App \ t \ (Var \ y) \Leftrightarrow App \ u \ (Var \ y) : T_2$ using h by autothen have  $([(x,y)] \cdot ((y,T_1)\#\Gamma)) \vdash [(x,y)] \cdot App \ t \ (Var \ y) \Leftrightarrow [(x,y)] \cdot App \ u \ (Var \ y) : T_2$ using alg-equiv.eqvt[simplified] by blastthen show ?thesis using  $fs \ fs2$  by (perm-simp)qed

For the algorithmic\_transitivity lemma we need a unicity property. But one has to be cautious, because this unicity property is true only for algorithmic path. Indeed the following lemma is false:

$$\llbracket \Gamma \vdash s \Leftrightarrow t : T; \Gamma \vdash s \Leftrightarrow u : T' \rrbracket \Longrightarrow T = T'$$

Here is the counter example :

 $\Gamma \vdash Const \ n \Leftrightarrow Const \ n : Tbase \ and \ \Gamma \vdash Const \ n \Leftrightarrow Const \ n : TUnit$ 

**lemma** algorithmic-path-type-unicity: shows  $\Gamma \vdash s \leftrightarrow t : T \Longrightarrow \Gamma \vdash s \leftrightarrow u : T' \Longrightarrow T = T'$ **proof** (induct arbitrary: u T'rule: alg-equiv-alg-path-equiv.inducts(2) [of - - - - %a b c d . True] ]) case  $(QAP-Var \ \Gamma \ x \ T \ u \ T')$ have  $\Gamma \vdash Var \ x \leftrightarrow u : T'$  by fact then have u = Var x and  $(x, T') \in set \Gamma$  by auto **moreover have** valid  $\Gamma(x,T) \in set \Gamma$  by fact ultimately show T = T' using type-unicity-in-context by auto next case  $(QAP-App \ \Gamma \ p \ q \ T_1 \ T_2 \ s \ t \ u \ T_2')$ have  $ih: \bigwedge u \ T. \ \Gamma \vdash p \leftrightarrow u : T \implies T_1 \rightarrow T_2 = T$  by fact have  $\Gamma \vdash App \ p \ s \leftrightarrow u : T_2'$  by fact then obtain  $r t T_1'$  where  $u = App r t \Gamma \vdash p \leftrightarrow r : T_1' \rightarrow T_2'$  by *auto* then have  $T_1 \rightarrow T_2 = T_1' \rightarrow T_2'$  by *auto* then show  $T_2 = T_2'$  using ty.inject by auto  $\mathbf{qed} \ (auto)$ **lemma** alg-path-equiv-implies-valid: shows  $\Gamma \vdash s \Leftrightarrow t : T \Longrightarrow valid \Gamma$ and  $\Gamma \vdash s \leftrightarrow t : T \Longrightarrow valid \ \Gamma$ **by** (*induct rule* : *alg-equiv-alg-path-equiv.inducts*, *auto*) **lemma** algorithmic-symmetry: shows  $\Gamma \vdash s \Leftrightarrow t : T \Longrightarrow \Gamma \vdash t \Leftrightarrow s : T$  $\textbf{and} \quad \Gamma \vdash s \leftrightarrow t: T \Longrightarrow \Gamma \vdash t \leftrightarrow s: T$ **by** (*induct rule: alg-equiv-alg-path-equiv.inducts*) (auto simp add: fresh-prod) **lemma** algorithmic-transitivity: shows  $\Gamma \vdash s \Leftrightarrow t : T \Longrightarrow \Gamma \vdash t \Leftrightarrow u : T \Longrightarrow \Gamma \vdash s \Leftrightarrow u : T$ and  $\Gamma \vdash s \leftrightarrow t : T \Longrightarrow \Gamma \vdash t \leftrightarrow u : T \Longrightarrow \Gamma \vdash s \leftrightarrow u : T$ **proof** (nominal-induct  $\Gamma$  s t T and  $\Gamma$  s t T avoiding: u rule: alg-equiv-alg-path-equiv.strong-inducts) **case** (QAT-Base s p t q  $\Gamma$  u) have  $\Gamma \vdash t \Leftrightarrow u$  : TBase by fact then obtain r' q' where  $b1: t \Downarrow q'$  and  $b2: u \Downarrow r'$  and  $b3: \Gamma \vdash q' \leftrightarrow r': TBase$  by auto have *ih*:  $\Gamma \vdash q \leftrightarrow r'$ : *TBase*  $\implies$   $\Gamma \vdash p \leftrightarrow r'$ : *TBase* by *fact* have  $t \Downarrow q$  by fact with b1 have eq: q=q' by (simp add: nf-unicity) with *ih b3* have  $\Gamma \vdash p \leftrightarrow r'$ : *TBase* by *simp* moreover have  $s \Downarrow p$  by fact ultimately show  $\Gamma \vdash s \Leftrightarrow u : TBase$  using b2 by auto next case (QAT-Arrow  $x \Gamma s t T_1 T_2 u$ ) have  $ih:(x,T_1)\#\Gamma \vdash App \ t \ (Var \ x) \Leftrightarrow App \ u \ (Var \ x) : T_2$  $\implies (x,T_1)\#\Gamma \vdash App \ s \ (Var \ x) \Leftrightarrow App \ u \ (Var \ x) : T_2 \ by \ fact$ have fs:  $x \# \Gamma \ x \# s \ x \# t \ x \# u$  by fact have  $\Gamma \vdash t \Leftrightarrow u : T_1 \rightarrow T_2$  by fact then have  $(x,T_1)\#\Gamma \vdash App \ t \ (Var \ x) \Leftrightarrow App \ u \ (Var \ x) : T_2$  using fs **by** (*simp add: Q-Arrow-strong-inversion*) with *ih* have  $(x, T_1) \# \Gamma \vdash App \ s \ (Var \ x) \Leftrightarrow App \ u \ (Var \ x) : T_2$  by simp then show  $\Gamma \vdash s \Leftrightarrow u : T_1 \rightarrow T_2$  using fs by (auto simp add: fresh-prod)

next case  $(QAP-App \ \Gamma \ p \ q \ T_1 \ T_2 \ s \ t \ u)$ have  $\Gamma \vdash App \ q \ t \leftrightarrow u : T_2$  by fact then obtain  $r T_1' v$  where  $ha: \Gamma \vdash q \leftrightarrow r: T_1' \rightarrow T_2$  and  $hb: \Gamma \vdash t \Leftrightarrow v: T_1'$  and eq: u = Appr vby *auto* have  $ih1: \Gamma \vdash q \leftrightarrow r: T_1 \rightarrow T_2 \Longrightarrow \Gamma \vdash p \leftrightarrow r: T_1 \rightarrow T_2$  by fact have  $ih2:\Gamma \vdash t \Leftrightarrow v: T_1 \Longrightarrow \Gamma \vdash s \Leftrightarrow v: T_1$  by fact have  $\Gamma \vdash p \leftrightarrow q : T_1 \rightarrow T_2$  by fact then have  $\Gamma \vdash q \leftrightarrow p : T_1 \rightarrow T_2$  by (simp add: algorithmic-symmetry) with ha have  $T_1' \rightarrow T_2 = T_1 \rightarrow T_2$  using algorithmic-path-type-unicity by simp then have  $T_1' = T_1$  by (simp add: ty.inject) then have  $\Gamma \vdash s \Leftrightarrow v : T_1 \Gamma \vdash p \leftrightarrow r : T_1 \rightarrow T_2$  using *ih1 ih2 ha hb* by *auto* then show  $\Gamma \vdash App \ p \ s \leftrightarrow u : T_2$  using eq by auto qed (auto) lemma algorithmic-weak-head-closure: shows  $\Gamma \vdash s \Leftrightarrow t : T \Longrightarrow s' \rightsquigarrow s \Longrightarrow t' \rightsquigarrow t \Longrightarrow \Gamma \vdash s' \Leftrightarrow t' : T$ **apply** (nominal-induct  $\Gamma$  s t T avoiding: s' t' rule: alg-equiv-alg-path-equiv.strong-inducts(1) [of - - -  $\% a \ b \ c \ d \ e.$  True]) **apply**(*auto intro*!: *QAT-Arrow*) done **lemma** algorithmic-monotonicity: shows  $\Gamma \vdash s \Leftrightarrow t : T \Longrightarrow \Gamma \subseteq \Gamma' \Longrightarrow valid \ \Gamma' \Longrightarrow \Gamma' \vdash s \Leftrightarrow t : T$ and  $\Gamma \vdash s \leftrightarrow t : T \Longrightarrow \Gamma \subseteq \Gamma' \Longrightarrow valid \ \Gamma' \Longrightarrow \Gamma' \vdash s \leftrightarrow t : T$ **proof** (nominal-induct  $\Gamma$  s t T and  $\Gamma$  s t T avoiding:  $\Gamma'$  rule: alg-equiv-alg-path-equiv.strong-inducts) case (QAT-Arrow  $x \Gamma s t T_1 T_2 \Gamma'$ ) have  $fs:x\#\Gamma \ x\#s \ x\#t \ x\#\Gamma'$  by fact have  $h2:\Gamma \subseteq \Gamma'$  by fact have  $ih: \Lambda \Gamma'$ .  $[(x,T_1) \# \Gamma \subseteq \Gamma'; valid \Gamma'] \implies \Gamma' \vdash App \ s \ (Var \ x) \Leftrightarrow App \ t \ (Var \ x) : T_2$  by fact have valid  $\Gamma'$  by fact then have valid  $((x,T_1)\#\Gamma')$  using fs by auto moreover have sub:  $(x, T_1) \# \Gamma \subseteq (x, T_1) \# \Gamma'$  using h2 by auto ultimately have  $(x, T_1) \# \Gamma' \vdash App \ s \ (Var \ x) \Leftrightarrow App \ t \ (Var \ x) : T_2$  using *ih* by simp then show  $\Gamma' \vdash s \Leftrightarrow t : T_1 \rightarrow T_2$  using fs by (auto simp add: fresh-prod) qed (auto) **lemma** path-equiv-implies-nf: **assumes**  $\Gamma \vdash s \leftrightarrow t : T$ shows  $s \rightsquigarrow |$  and  $t \rightsquigarrow |$ using assms by (induct rule: alg-equiv-alg-path-equiv.inducts(2)) (simp, auto)

#### 6.4 Definition of the logical relation

We define the logical equivalence as a function. Note that here we can not use an inductive definition because of the negative occurrence in the arrow case.

function log-equiv :: (Ctxt  $\Rightarrow$  trm  $\Rightarrow$  trm  $\Rightarrow$  ty  $\Rightarrow$  bool) (-  $\vdash$  - is - : - [60, 60, 60, 60] 60)

#### where

 $\begin{array}{l} \Gamma \vdash s \ is \ t : \ TUnit = \ True \\ \mid \Gamma \vdash s \ is \ t : \ TBase = \Gamma \vdash s \Leftrightarrow t : \ TBase \\ \mid \Gamma \vdash s \ is \ t : \ (T_1 \rightarrow T_2) = \\ (\forall \Gamma' \ s' \ t'. \ \Gamma \subseteq \Gamma' \longrightarrow valid \ \Gamma' \longrightarrow \Gamma' \vdash s' \ is \ t' : \ T_1 \longrightarrow \ (\Gamma' \vdash (App \ s \ s') \ is \ (App \ t \ t') : \ T_2)) \\ \textbf{apply} \ (auto \ simp \ add: \ ty.inject) \\ \textbf{apply} \ (subgoal-tac \ (\exists \ T_1 \ T_2. \ b=T_1 \rightarrow T_2) \lor b=TUnit \lor b=TBase \ ) \\ \textbf{apply} \ (force) \\ \textbf{apply} \ (rule \ ty-cases) \\ \textbf{done} \end{array}$ 

#### termination

**apply**(relation measure  $(\lambda(-,-,-,T))$ . size T)) **apply**(auto) **done** 

Monotonicity of the logical equivalence relation.

**lemma** logical-monotonicity : **assumes**  $a1: \Gamma \vdash s \text{ is } t : T$ a2:  $\Gamma \subset \Gamma'$ and a3: valid  $\Gamma'$ and shows  $\Gamma' \vdash s \text{ is } t : T$ using a1 a2 a3 **proof** (*induct arbitrary*:  $\Gamma'$  *rule*: *log-equiv.induct*) case  $(2 \Gamma s t \Gamma')$ then show  $\Gamma' \vdash s$  is t: TBase using algorithmic-monotonicity by auto  $\mathbf{next}$ case (3  $\Gamma$  s t  $T_1$   $T_2$   $\Gamma'$ ) have  $\Gamma \vdash s \ is \ t : \ T_1 \rightarrow T_2$ and  $\Gamma \subseteq \Gamma'$ and valid  $\Gamma'$  by fact then show  $\Gamma' \vdash s \text{ is } t : T_1 \rightarrow T_2$  by simp qed (auto) lemma main-lemma: shows  $\Gamma \vdash s \text{ is } t : T \Longrightarrow valid \ \Gamma \Longrightarrow \Gamma \vdash s \Leftrightarrow t : T$ and  $\Gamma \vdash p \leftrightarrow q : T \Longrightarrow \Gamma \vdash p \text{ is } q : T$ **proof** (nominal-induct T arbitrary:  $\Gamma$  s t p q rule: ty.induct) case (Arrow  $T_1$   $T_2$ ) ł case  $(1 \Gamma s t)$ have  $ih1: \Lambda \Gamma \ s \ t$ .  $\llbracket \Gamma \vdash s \ is \ t : T_2; \ valid \ \Gamma \rrbracket \Longrightarrow \Gamma \vdash s \Leftrightarrow t : T_2 \ by \ fact$ have  $ih2: \Lambda \Gamma \ s \ t. \ \Gamma \vdash s \leftrightarrow t: T_1 \Longrightarrow \Gamma \vdash s \ is \ t: T_1$  by fact have  $h: \Gamma \vdash s \text{ is } t : T_1 \rightarrow T_2$  by fact obtain x::name where  $fs:x\#(\Gamma,s,t)$  by (erule exists-fresh[OF fs-name1]) have valid  $\Gamma$  by fact then have v: valid  $((x,T_1)\#\Gamma)$  using fs by auto then have  $(x, T_1) \# \Gamma \vdash Var \ x \leftrightarrow Var \ x : T_1$  by *auto* then have  $(x,T_1)\#\Gamma \vdash Var \ x \ is \ Var \ x : T_1 \ using \ ih2 \ by \ auto$ then have  $(x,T_1)\#\Gamma \vdash App \ s \ (Var \ x) \ is \ App \ t \ (Var \ x) \ : \ T_2 \ using \ h \ v \ by \ auto$ then have  $(x, T_1) \# \Gamma \vdash App \ s \ (Var \ x) \Leftrightarrow App \ t \ (Var \ x) : T_2 \text{ using } ih1 \ v \text{ by } auto$ then show  $\Gamma \vdash s \Leftrightarrow t : T_1 \rightarrow T_2$  using fs by (auto simp add: fresh-prod)  $\mathbf{next}$ 

case  $(2 \Gamma p q)$ have  $h: \Gamma \vdash p \leftrightarrow q : T_1 \rightarrow T_2$  by fact have  $ih1: \Lambda \Gamma \ s \ t. \ \Gamma \vdash s \leftrightarrow t : T_2 \Longrightarrow \Gamma \vdash s \ is \ t : T_2$  by fact have  $ih2: \Lambda \Gamma \ s \ t$ .  $[\Gamma \vdash s \ is \ t : T_1; valid \ \Gamma] \implies \Gamma \vdash s \Leftrightarrow t : T_1 \ by \ fact$ { fix  $\Gamma' s t$ assume  $\Gamma \subseteq \Gamma'$  and  $hl: \Gamma' \vdash s \text{ is } t : T_1$  and  $hk: valid \Gamma'$ then have  $\Gamma' \vdash p \leftrightarrow q : T_1 \to T_2$  using *h* algorithmic-monotonicity by auto moreover have  $\Gamma' \vdash s \Leftrightarrow t : T_1$  using *ih2 hl hk* by auto ultimately have  $\Gamma' \vdash App \ p \ s \leftrightarrow App \ q \ t : T_2$  by *auto* then have  $\Gamma' \vdash App \ p \ s \ is \ App \ q \ t : T_2$  using *ih1* by *auto* then show  $\Gamma \vdash p$  is  $q : T_1 \rightarrow T_2$  by simp }  $\mathbf{next}$ case TBase  $\{ case 2 \}$ have  $h: \Gamma \vdash s \leftrightarrow t : TBase$  by fact then have  $s \rightsquigarrow |$  and  $t \rightsquigarrow |$  using *path-equiv-implies-nf* by *auto* then have  $s \Downarrow s$  and  $t \Downarrow t$  by *auto* then have  $\Gamma \vdash s \Leftrightarrow t : TBase$  using h by auto **then show**  $\Gamma \vdash s$  *is* t : *TBase* by *auto* } **qed** (auto elim: alg-path-equiv-implies-valid) **corollary** *corollary-main*: **assumes**  $a: \Gamma \vdash s \leftrightarrow t : T$ shows  $\Gamma \vdash s \Leftrightarrow t : T$ using a main-lemma alg-path-equiv-implies-valid by blast **lemma** *logical-symmetry*: **assumes**  $a: \Gamma \vdash s \text{ is } t : T$ shows  $\Gamma \vdash t \text{ is } s : T$ using aby (nominal-induct arbitrary:  $\Gamma$  s t rule: ty.induct) (auto simp add: algorithmic-symmetry) **lemma** *logical-transitivity*: **assumes**  $\Gamma \vdash s$  is  $t : T \Gamma \vdash t$  is u : Tshows  $\Gamma \vdash s \ is \ u : T$ using assms **proof** (nominal-induct arbitrary:  $\Gamma$  s t u rule:ty.induct) case TBase **then show**  $\Gamma \vdash s$  *is* u : *TBase* by (*auto elim: algorithmic-transitivity*) next case (Arrow  $T_1$   $T_2$   $\Gamma$  s t u) have  $h1:\Gamma \vdash s \text{ is } t: T_1 \to T_2$  by fact have  $h2:\Gamma \vdash t \text{ is } u: T_1 \to T_2$  by fact have  $ih1: \Lambda \Gamma \ s \ t \ u$ .  $\llbracket \Gamma \vdash s \ is \ t : T_1; \ \Gamma \vdash t \ is \ u : T_1 \rrbracket \Longrightarrow \Gamma \vdash s \ is \ u : T_1 \ by \ fact$ have  $ih2: \Lambda \Gamma \ s \ t \ u$ .  $\llbracket \Gamma \vdash s \ is \ t : T_2; \ \Gamma \vdash t \ is \ u : T_2 \rrbracket \Longrightarrow \Gamma \vdash s \ is \ u : T_2 \ by \ fact$ { fix  $\Gamma' s' u'$ **assume**  $hsub:\Gamma \subseteq \Gamma'$  and  $hl:\Gamma' \vdash s'$  is  $u': T_1$  and hk: valid  $\Gamma'$ 

```
then have \Gamma' \vdash u' is s' : T_1 using logical-symmetry by blast
then have \Gamma' \vdash u' is u' : T_1 using ih1 hl by blast
then have \Gamma' \vdash App \ t \ u' is App \ u \ u' : T_2 using h2 \ hsub \ hk by auto
moreover have \Gamma' \vdash App \ s \ s' is App \ t \ u' : T_2 using h1 \ hsub \ hl \ hk by auto
ultimately have \Gamma' \vdash App \ s \ s' is App \ u \ u' : T_2 using ih2 by blast
}
then show \Gamma \vdash s \ is \ u : T_1 \to T_2 by auto
```

```
qed (auto)
```

To simplify the formal proof, here we derive two lemmas which are weaker than the lemma in the paper version. We omit the reflexive and transitive closure of the relation  $s' \rightsquigarrow s$  in the assumptions.

```
lemma logical-weak-head-closure:
  assumes a: \Gamma \vdash s \text{ is } t : T
              b: s' \rightsquigarrow s
  and
               c: t' \rightsquigarrow t
  and
  shows \Gamma \vdash s' is t' : T
using a b c algorithmic-weak-head-closure
by (nominal-induct arbitrary: \Gamma \ s \ t \ s' \ t' \ rule: \ ty.induct)
    (auto, blast)
lemma logical-weak-head-closure':
  assumes \Gamma \vdash s \text{ is } t : T \text{ and } s' \rightsquigarrow s
  shows \Gamma \vdash s' is t : T
using assms
proof (nominal-induct arbitrary: \Gamma s t s' rule: ty.induct)
  case (TBase \Gamma s t s')
  then show ?case by force
\mathbf{next}
  case (TUnit \Gamma s t s')
  then show ?case by auto
\mathbf{next}
  case (Arrow T_1 T_2 \Gamma s t s')
  have h1:s' \rightsquigarrow s by fact
  have ih: \Lambda \Gamma \ s \ t \ s'. \llbracket \Gamma \vdash s \ is \ t \ : \ T_2; \ s' \rightsquigarrow s \rrbracket \Longrightarrow \Gamma \vdash s' \ is \ t \ : \ T_2 by fact
  have h2: \Gamma \vdash s \text{ is } t : T_1 \rightarrow T_2 by fact
  then
  have hb: \forall \Gamma' \ s' \ t'. \Gamma \subseteq \Gamma' \longrightarrow valid \ \Gamma' \longrightarrow \Gamma' \vdash s' \ is \ t': T_1 \longrightarrow (\Gamma' \vdash (App \ s \ s') \ is \ (App \ t \ t'): T_2)
    by auto
  {
     fix \Gamma' s_2 t_2
     assume \Gamma \subseteq \Gamma' and \Gamma' \vdash s_2 is t_2 : T_1 and valid \Gamma'
     then have \Gamma' \vdash (App \ s \ s_2) is (App \ t \ t_2) : T_2 using hb by auto
     moreover have (App \ s' \ s_2) \rightsquigarrow (App \ s \ s_2) using h1 by auto
     ultimately have \Gamma' \vdash App \ s' \ s_2 \ is \ App \ t \ t_2 : T_2 using ih by auto
  }
  then show \Gamma \vdash s' is t : T_1 \rightarrow T_2 by auto
qed
```

#### abbreviation

 $log-equiv-for-psubsts :: Ctxt \Rightarrow Subst \Rightarrow Subst \Rightarrow Ctxt \Rightarrow bool (- \vdash - is - over - [60, 60] 60)$ where  $\Gamma' \vdash \theta \text{ is } \theta' \text{ over } \Gamma \equiv \forall x \text{ } T. (x,T) \in set \ \Gamma \longrightarrow \Gamma' \vdash \theta < Var x > is \ \theta' < Var x > : T$ 

Now, we can derive that the logical equivalence is almost reflexive.

```
lemma logical-pseudo-reflexivity:
  assumes \Gamma' \vdash t is s over \Gamma
  shows \Gamma' \vdash s is s over \Gamma
proof –
  have \Gamma' \vdash t is s over \Gamma by fact
  moreover then have \Gamma' \vdash s is t over \Gamma using logical-symmetry by blast
  ultimately show \Gamma' \vdash s is s over \Gamma using logical-transitivity by blast
qed
lemma logical-subst-monotonicity :
  assumes a: \Gamma' \vdash s \text{ is } t \text{ over } \Gamma
             b \colon \Gamma' \subseteq \Gamma''
  and
             c{:}\ valid\ \Gamma^{\prime\prime}
  and
  shows \Gamma'' \vdash s is t over \Gamma
using a b c logical-monotonicity by blast
lemma equiv-subst-ext :
  assumes h1: \Gamma' \vdash \theta is \theta' over \Gamma
          h2: \Gamma' \vdash s \text{ is } t: T
  and
  and
            fs: x \# \Gamma
  shows \Gamma' \vdash (x,s) \# \theta is (x,t) \# \theta' over (x,T) \# \Gamma
using assms
proof -
  {
    fix y U
    assume (y, U) \in set ((x, T) \# \Gamma)
    moreover
    {
      assume (y, U) \in set [(x, T)]
      then have \Gamma' \vdash (x,s) \# \theta < Var \ y > is \ (x,t) \# \theta' < Var \ y > : U by auto
    }
    moreover
    ł
      assume hl:(y,U) \in set \ \Gamma
      then have \neg y \# \Gamma by (induct \Gamma) (auto simp add: fresh-list-cons fresh-atm fresh-prod)
      then have hf:x \# Var y using fs by (auto simp add: fresh-atm)
    then have (x,s)\#\theta < Var \ y > = \theta < Var \ y > (x,t)\#\theta' < Var \ y > = \theta' < Var \ y > using fresh-psubst-simp
by blast+
      moreover have \Gamma' \vdash \theta < Var \ y > is \ \theta' < Var \ y > : U using h1 hl by auto
      ultimately have \Gamma' \vdash (x,s) \# \theta < Var \ y > is \ (x,t) \# \theta' < Var \ y > : U by auto
    }
    ultimately have \Gamma' \vdash (x,s) \# \theta < Var \ y > is \ (x,t) \# \theta' < Var \ y > : U by auto
  }
  then show \Gamma' \vdash (x,s) \# \theta is (x,t) \# \theta' over (x,T) \# \Gamma by auto
qed
```

#### 6.5 Fundamental theorems

**theorem** fundamental-theorem-1: assumes  $h1: \Gamma \vdash t : T$ h2:  $\Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$ and and h3: valid  $\Gamma'$ shows  $\Gamma' \vdash \theta < t > is \ \theta' < t > : T$ using h1 h2 h3 **proof** (nominal-induct  $\Gamma$  t T avoiding:  $\Gamma' \theta \theta'$  rule: typing.strong-induct) case  $(t-Lam \ x \ \Gamma \ T_1 \ t_2 \ T_2 \ \Gamma' \ \theta \ \theta')$ have  $fs: x \# \theta \ x \# \theta' \ x \# \Gamma$  by fact have  $h: \Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$  by fact have  $ih: \bigwedge \Gamma' \theta \theta'$ .  $[\Gamma' \vdash \theta is \theta' over (x, T_1) \# \Gamma; valid \Gamma'] \Longrightarrow \Gamma' \vdash \theta < t_2 > is \theta' < t_2 > : T_2$  by fact { fix  $\Gamma^{\prime\prime} s^{\prime} t^{\prime}$ assume  $\Gamma' \subseteq \Gamma''$  and  $hl: \Gamma'' \vdash s'$  is  $t': T_1$  and v: valid  $\Gamma''$ then have  $\Gamma'' \vdash \theta$  is  $\theta'$  over  $\Gamma$  using logical-subst-monotonicity h by blast then have  $\Gamma'' \vdash (x,s') \# \theta$  is  $(x,t') \# \theta'$  over  $(x,T_1) \# \Gamma$  using equiv-subst-ext hl fs by blast then have  $\Gamma'' \vdash (x,s') \# \theta < t_2 > is (x,t') \# \theta' < t_2 > : T_2$  using *i*h *v* by *auto* then have  $\Gamma' \vdash \theta < t_2 > [x::=s']$  is  $\theta' < t_2 > [x::=t']$ :  $T_2$  using psubst-subst-psubst fs by simp moreover have App (Lam [x]. $\theta < t_2 >$ )  $s' \rightsquigarrow \theta < t_2 > [x:=s']$  by auto moreover have App (Lam [x]. $\theta' < t_2 >$ )  $t' \rightsquigarrow \theta' < t_2 > [x:=t']$  by auto ultimately have  $\Gamma' \vdash App$  (Lam [x]. $\theta < t_2 >$ ) s' is App (Lam [x]. $\theta' < t_2 >$ ) t': T<sub>2</sub> using logical-weak-head-closure by auto } then show  $\Gamma' \vdash \theta < Lam [x] \cdot t_2 > is \ \theta' < Lam [x] \cdot t_2 > : T_1 \rightarrow T_2$  using fs by simp qed (auto) **theorem** fundamental-theorem-2: assumes  $h1: \Gamma \vdash s \equiv t: T$ h2:  $\Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$ and h3: valid  $\Gamma'$ and shows  $\Gamma' \vdash \theta < s > is \ \theta' < t > : T$ using  $h1 \ h2 \ h3$ **proof** (nominal-induct  $\Gamma$  s t T avoiding:  $\Gamma' \theta \theta'$  rule: def-equiv.strong-induct) **case**  $(Q-Refl \ \Gamma \ t \ T \ \Gamma' \ \theta \ \theta')$ have  $\Gamma \vdash t : T$ and valid  $\Gamma'$  by fact moreover have  $\Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$  by fact ultimately show  $\Gamma' \vdash \theta < t > is \ \theta' < t > : T$  using fundamental-theorem-1 by blast next case (Q-Symm  $\Gamma$  t s T  $\Gamma' \theta \theta'$ ) have  $\Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$ and valid  $\Gamma'$  by fact moreover have ih:  $\land \Gamma' \theta \theta'$ .  $[\Gamma' \vdash \theta \text{ is } \theta' \text{ over } \Gamma; \text{ valid } \Gamma'] \implies \Gamma' \vdash \theta < t > \text{ is } \theta' < s > : T \text{ by fact}$ ultimately show  $\Gamma' \vdash \theta < s > is \ \theta' < t > : T$  using logical-symmetry by blast  $\mathbf{next}$ case (*Q*-Trans  $\Gamma$  s t T u  $\Gamma' \theta \theta'$ ) have  $ih_1: \bigwedge \Gamma' \theta \theta'$ .  $[\Gamma' \vdash \theta is \theta' over \Gamma; valid \Gamma'] \Longrightarrow \Gamma' \vdash \theta < s > is \theta' < t > : T by fact$ have  $ih2: \bigwedge \Gamma' \theta \theta'$ .  $\llbracket \Gamma' \vdash \theta \text{ is } \theta' \text{ over } \Gamma; \text{ valid } \Gamma' \rrbracket \Longrightarrow \Gamma' \vdash \theta < t > \text{ is } \theta' < u > : T \text{ by fact}$ have  $h: \Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$ 

and v: valid  $\Gamma'$  by fact then have  $\Gamma' \vdash \theta'$  is  $\theta'$  over  $\Gamma$  using logical-pseudo-reflexivity by auto then have  $\Gamma' \vdash \theta' < t > is \ \theta' < u > : T$  using *ih2* v by *auto* moreover have  $\Gamma' \vdash \theta < s > is \ \theta' < t > : T$  using *ih1* h v by *auto* ultimately show  $\Gamma' \vdash \theta < s > is \ \theta' < u > : T$  using logical-transitivity by blast  $\mathbf{next}$ **case** (*Q*-*Abs*  $x \Gamma T_1 s_2 t_2 T_2 \Gamma' \theta \theta'$ ) have  $fs:x\#\Gamma$  by fact have  $fs2: x \# \theta \ x \# \theta'$  by fact have  $h2: \Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$ and h3: valid  $\Gamma'$  by fact have  $ih: \Lambda \Gamma' \theta \theta'$ .  $[\Gamma' \vdash \theta \text{ is } \theta' \text{ over } (x, T_1) \# \Gamma; \text{ valid } \Gamma'] \implies \Gamma' \vdash \theta < s_2 > is \theta' < t_2 > : T_2$  by fact fix  $\Gamma'' s' t'$ assume  $\Gamma' \subseteq \Gamma''$  and  $hl: \Gamma' \vdash s'$  is  $t': T_1$  and hk: valid  $\Gamma''$ then have  $\Gamma'' \vdash \theta$  is  $\theta'$  over  $\Gamma$  using h2 logical-subst-monotonicity by blast then have  $\Gamma'' \vdash (x,s') \# \theta$  is  $(x,t') \# \theta'$  over  $(x,T_1) \# \Gamma$  using equiv-subst-ext hl fs by blast then have  $\Gamma'' \vdash (x,s') \# \theta \langle s_2 \rangle$  is  $(x,t') \# \theta' \langle t_2 \rangle$ :  $T_2$  using it hk by blast then have  $\Gamma''\vdash \theta < s_2 > [x::=s']$  is  $\theta' < t_2 > [x::=t']$ :  $T_2$  using fs2 psubst-subst-psubst by auto moreover have App (Lam [x].  $\theta < s_2 >$ )  $s' \rightsquigarrow \theta < s_2 > [x::=s']$ and App (Lam  $[x].\theta' < t_2 >$ )  $t' \rightsquigarrow \theta' < t_2 > [x:=t']$  by auto ultimately have  $\Gamma'' \vdash App$  (Lam [x].  $\theta < s_2 >$ ) s' is App (Lam [x].  $\theta' < t_2 >$ ) t':  $T_2$ using logical-weak-head-closure by auto } moreover have valid  $\Gamma'$  using h2 by auto ultimately have  $\Gamma' \vdash Lam [x].\theta < s_2 > is Lam [x].\theta' < t_2 > : T_1 \rightarrow T_2$  by auto then show  $\Gamma' \vdash \theta < Lam [x] \cdot s_2 > is \ \theta' < Lam [x] \cdot t_2 > : T_1 \rightarrow T_2$  using fs2 by auto  $\mathbf{next}$ **case** (Q- $App \ \Gamma \ s_1 \ t_1 \ T_1 \ T_2 \ s_2 \ t_2 \ \Gamma' \ \theta \ \theta')$ then show  $\Gamma' \vdash \theta < App \ s_1 \ s_2 > is \ \theta' < App \ t_1 \ t_2 > : T_2$  by auto next **case** (*Q*-Beta  $x \ \Gamma \ s_2 \ t_2 \ T_1 \ s12 \ t12 \ T_2 \ \Gamma' \ \theta \ \theta'$ ) have  $h: \Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$ and h': valid  $\Gamma'$  by fact have fs:  $x \# \Gamma$  by fact have fs2:  $x \# \theta \ x \# \theta'$  by fact have  $ih_1: \Lambda \Gamma' \theta \theta'$ .  $[\Gamma' \vdash \theta \text{ is } \theta' \text{ over } \Gamma; \text{ valid } \Gamma'] \implies \Gamma' \vdash \theta < s_2 > is \theta' < t_2 > : T_1 \text{ by fact}$ have  $ih2: \Lambda \Gamma' \theta \theta'$ .  $[\Gamma' \vdash \theta \text{ is } \theta' \text{ over } (x, T_1) \# \Gamma; \text{ valid } \Gamma'] \implies \Gamma' \vdash \theta < s12 > is \theta' < t12 > : T_2$  by facthave  $\Gamma' \vdash \theta < s_2 > is \ \theta' < t_2 > : T_1$  using *ih1* h' h by *auto* then have  $\Gamma' \vdash (x, \theta < s_2 >) \# \theta$  is  $(x, \theta' < t_2 >) \# \theta'$  over  $(x, T_1) \# \Gamma$  using equiv-subst-ext h fs by blast then have  $\Gamma' \vdash (x, \theta < s_2 >) \# \theta < s_1 2 > is (x, \theta' < t_2 >) \# \theta' < t_1 2 > : T_2$  using *ih*2 *h'* by *auto* then have  $\Gamma' \vdash \theta < s_12 > [x :::= \theta < s_2 >]$  is  $\theta' < t_12 > [x :::= \theta' < t_2 >]$ :  $T_2$  using fs2 psubst-subst-psubst **by** *auto* then have  $\Gamma' \vdash \theta < s_1 \geq |x::=\theta < s_2 >|$  is  $\theta' < t_1 \geq |x:=t_2| >: T_2$  using fs2 psubst-subst-propagate by automoreover have App (Lam [x]. $\theta < s12 >$ ) ( $\theta < s_2 >$ )  $\rightsquigarrow \theta < s12 > [x::=\theta < s_2 >]$  by auto ultimately have  $\Gamma' \vdash App$  (Lam [x]. $\theta < s12 >$ ) ( $\theta < s_2 >$ ) is  $\theta' < t12[x::=t_2] > : T_2$ using logical-weak-head-closure' by auto then show  $\Gamma' \vdash \theta < App \ (Lam \ [x].s12) \ s_2 > is \ \theta' < t12 \ [x::=t_2] > : T_2 \ using \ fs2 \ by \ simp$  $\mathbf{next}$ case (Q-Ext  $x \Gamma s t T_1 T_2 \Gamma' \theta \theta'$ ) have  $h2: \Gamma' \vdash \theta$  is  $\theta'$  over  $\Gamma$ 

and h2': valid  $\Gamma'$  by fact have  $fs:x\#\Gamma x\#s x\#t$  by fact have  $ih: \Lambda \Gamma' \theta \theta'$ .  $[\Gamma' \vdash \theta is \theta' over (x, T_1) \# \Gamma; valid \Gamma']$  $\implies \Gamma' \vdash \theta < App \ s \ (Var \ x) > is \ \theta' < App \ t \ (Var \ x) > : T_2$  by fact { fix  $\Gamma'' s' t'$ assume hsub:  $\Gamma' \subseteq \Gamma''$  and hl:  $\Gamma' \vdash s'$  is  $t' : T_1$  and hk: valid  $\Gamma''$ then have  $\Gamma'' \vdash \theta$  is  $\theta'$  over  $\Gamma$  using h2 logical-subst-monotonicity by blast then have  $\Gamma'' \vdash (x,s') \# \theta$  is  $(x,t') \# \theta'$  over  $(x,T_1) \# \Gamma$  using equiv-subst-ext hl fs by blast then have  $\Gamma'' \vdash (x,s') \# \theta < App \ s \ (Var \ x) > is \ (x,t') \# \theta' < App \ t \ (Var \ x) > : T_2$  using ih hk by blastthen have  $\Gamma'' \vdash App (((x,s')\#\theta) < s >) (((x,s')\#\theta) < (Var x) >)$  is  $App ((x,t')\#\theta' < t >) ((x,t')\#\theta' < (Var x))$  $(x)>): T_2$ by auto then have  $\Gamma'' \vdash App \ ((x,s') \# \theta < s >) \ s' \ is \ App \ ((x,t') \# \theta' < t >) \ t' : T_2$  by auto then have  $\Gamma'' \vdash App \ (\theta < s >) \ s' \ is \ App \ (\theta' < t >) \ t' : T_2 \ using \ fs \ fresh-psubst-simp \ by \ auto$ } moreover have valid  $\Gamma'$  using h2 by auto ultimately show  $\Gamma' \vdash \theta < s > is \ \theta' < t > : T_1 \rightarrow T_2$  by *auto*  $\mathbf{next}$ case (*Q*-Unit  $\Gamma s t \Gamma' \theta \theta'$ ) then show  $\Gamma' \vdash \theta < s > is \ \theta' < t > : TUnit$  by *auto* 

#### qed

#### 6.6 Completeness

theorem completeness: assumes  $asm: \Gamma \vdash s \equiv t : T$ **shows**  $\Gamma \vdash s \Leftrightarrow t : T$ proof have val: valid  $\Gamma$  using def-equiv-implies-valid asm by simp moreover ł fix x Tassume  $(x,T) \in set \ \Gamma \ valid \ \Gamma$ then have  $\Gamma \vdash Var x is Var x : T$  using main-lemma(2) by blast } ultimately have  $\Gamma \vdash []$  is [] over  $\Gamma$  by auto then have  $\Gamma \vdash || < s > is || < t > : T$  using fundamental-theorem-2 val asm by blast then have  $\Gamma \vdash s \text{ is } t : T$  by simp then show  $\Gamma \vdash s \Leftrightarrow t : T$  using main-lemma(1) val by simp qed

## 7 About soundness

We leave soundness as an exercise - like in the book :-) If  $\Gamma \vdash s \Leftrightarrow t : T$  and  $\Gamma \vdash t : T$  and  $\Gamma \vdash s : T$  then  $\Gamma \vdash s \equiv t : T$ .  $\llbracket \Gamma \vdash s \leftrightarrow t : T; \ \Gamma \vdash t : T; \ \Gamma \vdash s : T \rrbracket \Longrightarrow \Gamma \vdash s \equiv t : T$   $\mathbf{end}$ 

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